

Mayur Rathod

From: Velmir Vejzovic
Sent: Tuesday, August 21, 2018 1:11 AM
To: Mayur Rathod
Cc: PWMUS AMLKYC
Subject: CLEARED: RDC Alert_ [REDACTED] L. [1]
Attachments: RDC Alert_ [REDACTED]

Classification: **For internal use only**

Hi Mayur,

BLAFC-WM has reviewed the RDC alert generated on 8/17/18 for [REDACTED], authorized signor for the Zorro Management, LLC, client of the Private Bank.

Our Party: [REDACTED], DOB [REDACTED], resides in Stanley, NM per LexisNexis. [REDACTED] is a manager at Zorro Management.

Alert Subject: [REDACTED] aka [REDACTED], [REDACTED], resides in Tompkinsville, KY per LexisNexis. [REDACTED] served as Member - Council of Monroe, Kentucky, United States.

BLAFC-WM clears this alert from a Compliance aspect as the age, location and occupation of Our Party do not match that of the Alert Subjects.

All the best,
Velmir Vejzovic



Velmir Vejzovic
Associate | AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime
Anti-Financial Crime

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

From: Krishna Dadhich **On Behalf Of** PWM BIS-Research
Sent: Friday, August 17, 2018 5:37 PM
To: Mayur Rathod <mayur.rathod@db.com>
Cc: PWMUS AMLKYC <pwmus.amlkyc@db.com>; PWM BIS-Research <pwm.bis-research@db.com>
Subject: RDC Alert_ [REDACTED] L.

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC

approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC

Thanks and regards,
Krishna Dadhich



Krishna Dadhich
Analyst | BIS Research
Deutsche Bank Trust Company Americas
COO Wealth Management Operations



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#PositiveImpact