

From: [Alyssa Taliaferro](#)
To: [Alka Babu](#)
Cc: [Mamta-A Choudhary](#); [PWMUS AMLKYC](#)
Subject: CLEARED: RDC ALERT_ NES, LLC
Date: Wednesday, August 22, 2018 10:54:11 PM
Attachments: @

BLAFC-WM has reviewed the RDC Alerts generated 8/17/18 for NES, LLC, existing client under the Southern Financial Relationship, existing relationship of the Private Bank.

Our Party: NES, LLC , located in St. Thomas, and was formed to take care of the expenses associated with running one of Jeffrey Epstein's homes (the UBO to NES, LLC)

Alert #1

Alert Subject: Nasdaq Execution Services, LLC, New York, NY, received SEC violations for not being permitted to engage in trading to cover any position, as of May 18, 2012.

Alert #2

Alert Subject: National Enterprise Systems, Inc., West Virginia and Ohio, is accused of using strong-arm debt collection tactics including telephone harassment.

BLAFC-WM clears these alerts from a Compliance aspect as a false positive as the full name and location of Our Party does not match that of the Alert Subject.

Regards,

Alyssa

Alyssa Taliaferro

As

SDNY_GM_00065236

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

CONFIDENTIAL

DB-SDNY-0028061
EFTA_00175736

EFTA01299325