

From: [Lauren Brooks](#)
To: [Alka Babu](#); [PWMUS AMLKYC](#)
Subject: CLEARED: FIRCOSOFT ALERT_ Darren Indyke [I]
Date: Friday, August 31, 2018 8:03:49 PM

Classification: For internal use only

Hello,

BLAFC-WM has reviewed the Fircosoft alert generated (8/20/18) for Darren K Indyke and BLAFC-WM clears this alert from an AML perspective as the alerted material was previously reviewed and cleared on (10/12/16-10/13/16).

Kind regards,
Lauren Brooks



Lauren Brooks
AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime
Anti-Financial Crime
5201 Gate Parkway, 2nd floor
Jacksonville, Florida 32256. USA
Tel. + [REDACTED]
Email [REDACTED]

From: Alka Babu
Sent: Thursday, August 30, 2018 10:08 AM
To: PWMUS AMLKYC <[REDACTED]>
Subject: FW: FIRCOSOFT ALERT_ Darren Indyke [I]

Classification: For internal use only

Hi Team,

Attached please find the clearance email received in the past for the party Darren Indyke for your review.

SDNY_GM_00065238

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DB-SDNY-0028063
EFTA_00175738

EFTA01299326

Please provide an updated clearance to help us proceed further. Thank you !

Kind regards,



Alka Babu

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. [REDACTED]
Mobile [REDACTED]

From: Mamta-A Choudhary
Sent: Monday, August 20, 2018 7:59 PM
To: Alka Babu <[REDACTED]>
Cc: PWM BIS-Research <[REDACTED]>; PWMUS AMLKYC <[REDACTED]>
Subject: FIRCOSOFT ALERT_ Darren Indyke

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.



Mamta Choudhary

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