

RUN DATE : 10/28/2014 - 00.06.43  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	291,846.67	EUR	IBD				P6XEVSTE	12.04.37	03.30.57	CWF88000003P

USDE : \$369,623.80  
 TRADE DATE: 10/23/2014  
 VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	IP	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
-----------	----------	--------------------------------	------	------	---------	------

BANK	DEUTDEFFXXX		DEUTSCHE BANK AG	FRANKFURT AM MAIN	GERMANY	
------	-------------	--	------------------	-------------------	---------	--

SECOND

FFC		9273616	STATE STREET BANK AND TRUST CO. LONDON TREASURY		UNITED KINGDO	
-----	--	---------	---	--	---------------	--

ULT BENE		680519	JEFFREY EPSTEIN			
----------	--	--------	-----------------	--	--	--

ADDITIONAL INSTRUCTIONS :  
 RELEASE DATE : 2014-10-23  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
-------------	---------

03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING

REASON : FAXING LOA. VAHE STEPANIAN 212.454.1539.  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWF88000003P  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.57	SNT	The Currency wire got settled.
MGNAKAN	10/24/14	13.11.17	PPR	
MGNAKAN	10/24/14	13.11.17	ULK	
MGNAKAN	10/24/14	13.11.17	APP	APPVD PER L2 APPVR COMMENTS/SUFF EUR IS AVAILABLE
MGNAKAN	10/24/14	13.10.22	LCK	
MGNSLEI	10/24/14	12.43.36	ULK	
MGNSLEI	10/24/14	12.43.36	APP	LOA RECD - ATTACHED REF DOC- DB CONFIRMED W STATE STREET SHORTENED IBAN IN INT
MGNSLEI	10/24/14	12.40.03	LCK	

SDNY\_GM\_00065512

USER ID	DATE	TIME	STATUS	COMMENTS
P6XEZKOZ	10/24/14	12.33.23	ULK	
P6XEZKOZ	10/24/14	12.33.23	APP	THIS IS INTERNAL TRANSFER TO DBTCA 0 FX ACCOUNT - SEE ATTACHED STSTREE EMAIL.
P6XEZKOZ	10/24/14	12.31.17	LCK	
MGNPBUN	10/24/14	12.29.53	ULK	
MGNPBUN	10/24/14	12.25.37	LCK	
WORKAUTO	10/24/14	12.24.10	APP	
MGNPBUN	10/24/14	12.24.10	ULK	
MGNPBUN	10/24/14	12.24.10	HLD	
MGNPBUN	10/24/14	12.22.28	LCK	
MGNAPER	10/24/14	07.04.44	ULK	
MGNAPER	10/24/14	07.03.46	LCK	
MGNPBUN	10/23/14	18.26.59	ULK	
MGNPBUN	10/23/14	18.26.59	HLD	PENDING ADDITIONAL DOCUMENTATION. PENDING SIGNED LOA
MGNPBUN	10/23/14	18.20.04	LCK	
WORKAUTO	10/23/14	18.15.25	APP	
P6XEZKOZ	10/23/14	18.14.49	ULK	
P6XEZKOZ	10/23/14	18.14.49	APP	
P6XEZKOZ	10/23/14	18.13.42	LCK	
P6XEZKOZ	10/23/14	18.13.06	ULK	
P6XEZKOZ	10/23/14	18.06.58	LCK	
P6XEZKOZ	10/23/14	18.00.05	ULK	
P6XEZKOZ	10/23/14	17.50.18	LCK	
P6XEZKOZ	10/23/14	17.50.17	ULK	
P6XEZKOZ	10/23/14	17.47.22	LCK	
P6XEVSTE	10/23/14	12.04.37	SUBM	

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	6,434.50	EUR	IBD				P6XESWIL	12.51.41	03.30.44	CWF89000003S
USDE : \$8,137.71											
TRADE DATE: 10/24/2014											
VALUE DATE: 10/27/2014											
FEE DESCRIPTION											
AMOUNT CHARGE TO											
WAIVE FEE REASON											
-----											
WIRE FEE											
\$30.00 IP											
N/A											
-----											
BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL											
-----											
BANK PASCITMMCOL 1417162 BANCA MONTE DEI PASCHI DI SIEN COLLE DI VAL D'ELSA ITALY											

SECOND

FFC

ULT BENE IT36I0103071860000001417162 CONSORZIO QUERCETO

ADDITIONAL INSTRUCTIONS : ADDRESS VIA DI SPUGNA 2 8 53034 COLLE DI VAL D ELSA SIENA ITALY REF HAIMES PULCINELLO ANTONIO 2014 DUES  
RELEASE DATE : 2014-10-24  
RELEASE COMMENTS :

RULE NUMBER MESSAGE

03100 -REG E ELIGIBLE WIRE  
03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
03301 -ALL CURRENCY WIRES TO APPROVAL.

REASON : UPLOADING LOA

STANDING INSTRUCTION : N

CURRENCY WIRES REF NUMBER : IMD1CWF89000003S

Reg E Eligible : Yes

Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.44	SNT	The Currency wire got settled.
MGNTLUB	10/24/14	16.31.06	PPR	
MGNTLUB	10/24/14	16.31.06	ULK	
MGNTLUB	10/24/14	16.31.06	APP	SUFF EUR / V/D 10-27
MGNTLUB	10/24/14	16.28.17	LCK	
WORKAUTO	10/24/14	14.49.05	APP	
P6XEKMC	10/24/14	14.49.05	ULK	
P6XEKMC	10/24/14	14.49.05	APP	REG E INFO ON FILE

SDNY\_GM\_00065514

**CONFIDENTIAL**

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0028339  
EFTA\_00176014

EFTA01299496

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 2

USER ID      DATE      TIME      STATUS      COMMENTS

---

P6XEKMC	10/24/14	14.48.43	LCK	
P6XEKMC	10/24/14	13.33.36	ULK	
P6XEKMC	10/24/14	13.33.27	LCK	
AMPSSY2	10/24/14	13.21.42	PAPP	
P6XESWIL	10/24/14	12.51.41	AWMH	Awaiting Mandatory Hold Period
P6XESWIL	10/24/14	12.51.41	SUBM	Disclosure provided at initiation

---

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	6,478,903.49	EUR	IBD				P6XEMPAS	11.30.53	03.30.52	CWF880000033

USDE : \$8,205,531.27  
TRADE DATE: 10/23/2014  
VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$7.50 OFFICE	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	CHASUS33		JPMORGAN CHASE BANK, N.A.	NEW YORK, NY	UNITED STATES	

SECOND

FFC	400211939		RBC PARIS, FRANCE- DISFFRPPXXX		FRANCE	
ULT BENE	FR351529800001VE12098055020		123 MULTINOVA EUROPE A1 ELAIA			

ADDITIONAL INSTRUCTIONS : 94 RUE DE LA VICTOIRE 75009 PARISFRANCE  
RELEASE DATE : 2014-10-23  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING

REASON : PLEASE APPROVE THANK YOU]  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWF880000033  
Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.52	SNT	The Currency wire got settled.
MGNAKAN	10/24/14	11.38.27	PPR	
MGNAKAN	10/24/14	11.38.26	ULK	
MGNAKAN	10/24/14	11.38.26	APP	APPVD PER L2 APPVR COMMENTS/SUFF EUR IS AVAIL
MGNAKAN	10/24/14	11.37.10	LCK	
IRAPBEL	10/24/14	10.57.41	ULK	
IRAPBEL	10/24/14	10.57.41	APP	PPWK RECD, DBAB, ACTIVITY REVWD, SUFF EUR, W8 14
IRAPBEL	10/24/14	10.48.39	LCK	

SDNY\_GM\_00065516

RUN DATE : 10/28/2014 - 00.06.43  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 2

USER ID DATE TIME STATUS COMMENTS

-----  
 WORKAUTO 10/24/14 10.31.18 APP  
 P6XEKCM 10/24/14 10.31.18 ULK  
 P6XEKCM 10/24/14 10.31.18 APP  
 P6XEKCM 10/24/14 10.31.07 LCK  
 P6XEMPAS 10/23/14 11.30.53 SUBM  
 =====

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
---------	------	--------	-----	------	------	------	------	--------------	--------------	------------	------------

N4J-010261	1	3,644,440.19	EUR		IBD			P6XEMPAS	11.38.34	03.30.58	CWF88000003D
------------	---	--------------	-----	--	-----	--	--	----------	----------	----------	--------------

USDE : \$4,615,683.50  
 TRADE DATE: 10/23/2014  
 VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$7.50	OFFICE	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
-----------	----------	--------------------------------	------	------	---------	------

BANK	CHASUS33		JPMORGAN CHASE BANK, N.A.	NEW YORK, NY	UNITED STATES	
------	----------	--	---------------------------	--------------	---------------	--

SECOND

FFC		400211939	RBC- PARIS, FRANCE DISFFRPPXXX		FRANCE	
-----	--	-----------	--------------------------------	--	--------	--

ULT BENE		FR3511529800001VE22098055068	123 MULTINOVA EUROPE A2 ELAIA			
----------	--	------------------------------	-------------------------------	--	--	--

ADDITIONAL INSTRUCTIONS : 94 RUE DE LA VICTOIRE 75009 PARISFRANCE  
 RELEASE DATE : 2014-10-23  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
-------------	---------

03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING

REASON : PLEASE APPROVE THANK YOU]  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWF88000003D  
 Reg E Eligible : No

SDNY\_GM\_00065517

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 3

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.58	SNT	The Currency wire got settled.
MGNAKAN	10/24/14	11.41.31	PPR	
MGNAKAN	10/24/14	11.41.31	ULK	
MGNAKAN	10/24/14	11.41.31	APP	APPVD PER L2 APPVR COMMENTS/SUFF EUR STTLD CR BAL
MGNAKAN	10/24/14	11.39.41	LCK	
IRAPBEL	10/24/14	11.01.11	ULK	
IRAPBEL	10/24/14	11.01.11	APP	WS 14, SUFF EUR, PFWK RECD, DBAB
IRAPBEL	10/24/14	10.59.28	LCK	
WORKAUTO	10/24/14	10.31.33	APP	
P6XEKCM	10/24/14	10.31.32	ULK	
P6XEKCM	10/24/14	10.31.32	APP	
P6XEKCM	10/24/14	10.31.20	LCK	
P6XEMPAS	10/23/14	11.38.34	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	60,000.00	EUR		IBD			P6XKEGUS	12.36.00	03.30.36	CWF89000003R

USDE : \$75,882.00  
 TRADE DATE: 10/23/2014  
 VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00 CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	AIBKIE2D		AIB BANK	DUBLIN	IRELAND	

SECOND

FFC

ULT BENE : 58887074 MR DEREK M JAMES

ADDITIONAL INSTRUCTIONS : SORT CODE 93 32 36 IBAN05AIBK93323658887074  
 RELEASE DATE : 2014-10-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE
03504	-MULTIPLE REQUEST

REASON : PLEASE APPROFVE  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWF89000003R  
 Reg E Eligible : Yes  
 Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.36	SNT	The Currency wire got settled.
MGNKSMI	10/24/14	17.08.09	PPR	
MGNKSMI	10/24/14	17.08.08	ULK	
MGNKSMI	10/24/14	17.08.08	APP	LOA RECD- EUR AVAIL 10/27 - CAT JRNL RECD TO PAY FOR TRADE - W9
MGNKSMI	10/24/14	17.07.17	LCK	
MGNSLEI	10/24/14	16.50.50	ULK	

USER ID	DATE	TIME	STATUS	COMMENTS
MGNSLEI	10/24/14	16.50.50	APP	LOA RECD- EUR AVAIL 10/27 - CAT JRNL RECD TO PAY FOR TRADE - W9
MGNSLEI	10/24/14	16.49.18	LCK	
MGNPBUN	10/24/14	15.49.04	ULK	
MGNPBUN	10/24/14	15.49.04	HLD	PENDING ADDITIONAL ACTIVITY. PENDING JRNL TO COVER FUTURE BUYS
MGNPBUN	10/24/14	15.48.07	LCK	
MGNPBUN	10/24/14	14.33.24	ULK	
MGNPBUN	10/24/14	14.33.24	HLD	PENDING ADDITIONAL ACTIVITY. PENDING JRNL TO COVER FUTURE BUY
MGNPBUN	10/24/14	14.31.24	LCK	
P6XECBUD	10/24/14	14.04.11	ULK	
P6XECBUD	10/24/14	14.04.11	APP	
P6XECBUD	10/24/14	14.04.04	LCK	
MGNPBUN	10/24/14	14.00.06	ULK	
MGNPBUN	10/24/14	13.58.33	LCK	
WORKAUTO	10/24/14	13.48.45	APP	
P6XECBUD	10/24/14	13.48.44	ULK	
P6XECBUD	10/24/14	13.48.44	APP	
P6XECBUD	10/24/14	13.47.54	LCK	
AMPSSY2	10/24/14	13.06.01	PAPP	
P6XEKGUS	10/24/14	12.36.01	AWMH	Awaiting Mandatory Hold Period
P6XEKGUS	10/24/14	12.36.01	SUBM	Disclosure provided at initiation

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XG-045324	1	40,000.00	GBP	IBD				P6XEKGUS	12.33.36	03.30.32	CWF89000003Q
USDE		: \$64,120.00									
TRADE DATE:		10/23/2014									
VALUE DATE:		10/27/2014									
FEE DESCRIPTION		AMOUNT	CHARGE TO	WAIVE FEE REASON							
WIRE FEE		\$30.00	CUSTOMER	N/A							

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	NWBKGB2L		NATIONAL WESTMINSTER BANK PLC	LONDON	UNITED KINGDO	
SECOND						
FFC		40509443	WEATHERBYS BANK CLIENT ACCOUNT		UNITED KINGDO	
ULT BENE		421514	MR D M JAMES			

SDNY\_GM\_00065520

**CONFIDENTIAL**

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 3

ADDITIONAL INSTRUCTIONS : SORT CODE 56 00 60  
RELEASE DATE : 2014-10-24  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE
03504	-MULTIPLE REQUEST

REASON : PLEASE APPROVE  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWF89000003Q  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.32	SNT	The Currency wire got settled.
MGNSLEI	10/24/14	16.49.12	PPR	
MGNSLEI	10/24/14	16.49.11	ULK	
MGNSLEI	10/24/14	16.49.11	APP	GBP AVAIL 10/27 - USD JRNL TO PAY FOR TRD - LOA ON FILE - W9
MGNSLEI	10/24/14	16.46.34	LCK	
MGNJLAR	10/24/14	14.14.17	ULK	
MGNJLAR	10/24/14	14.14.17	HLD	INSUFFICIENT FUNDS. PENDING ADDITIONAL ACTIVITY
MGNJLAR	10/24/14	14.11.47	LCK	
MGNPBUN	10/24/14	13.58.22	ULK	
MGNPBUN	10/24/14	13.58.22	APP	SUFF GBP AVA V/D 10/27/LOA RECD/USD DEBIT VS FUTURE BUYS/DBAB/EST REQ./MULTI OK
MGNPBUN	10/24/14	13.54.11	LCK	
WORKAUTO	10/24/14	13.47.48	APP	
P6XECBUD	10/24/14	13.47.48	ULK	
P6XECBUD	10/24/14	13.47.48	APP	
P6XECBUD	10/24/14	13.46.31	LCK	
AMPSSY2	10/24/14	13.03.37	PAPP	
P6XEKGUS	10/24/14	12.33.36	AMMH	Awaiting Mandatory Hold Period
P6XEKGUS	10/24/14	12.33.36	SUBM	Disclosure provided at initiation

SDNY\_GM\_00065521

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
[REDACTED]	1	6,000.00	GBP	IBD				P6XELFLO	13.32.59	15.37.56	CWF8C000004J
USDE : \$9,648.00											
TRADE DATE: 10/27/2014											
VALUE DATE: 10/31/2014											
FEE DESCRIPTION											
WIRE FEE											
AMOUNT CHARGE TO											
WAIVE FEE REASON											
WIRE FEE \$30.00 OFFICE N/A											
BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL											
BANK MIDLGB22 HSBC BANK PLC LONDON UNITED KINGDO											
SECOND											
FFC											
ULT BENE GB79MIDL40061981492543 CHARLOTTE E BOCLY											
ADDITIONAL INSTRUCTIONS : ACCOUNT NUMBER 81492543 SORT CODE 400619											
RELEASE DATE : 2014-10-27											
RELEASE COMMENTS :											
RULE NUMBER MESSAGE											
03100 -REG E ELIGIBLE WIRE											
03301 -ALL CURRENCY WIRES TO APPROVAL.											
REASON : PER CLIENT REQUEST											
STANDING INSTRUCTION : Y											
CURRENCY WIRES REF NUMBER : IMD1CWF8C000004J											
Reg E Eligible : Yes											
Was a foreign exchange transaction completed in connection with this wire request : No											
USER ID DATE TIME STATUS COMMENTS											
P6XETWEL 10/27/14 15.37.56 PPR											
P6XETWEL 10/27/14 15.37.56 ULK											
P6XETWEL 10/27/14 15.37.56 APP											
P6XETWEL 10/27/14 15.37.38 LCK											
P6XETWEL 10/27/14 14.51.43 ULK											
P6XETWEL 10/27/14 14.41.31 LCK											
AMPSSY2 10/27/14 14.03.00 PAPP											
P6XELFLO 10/27/14 13.33.00 AWMH Awaiting Mandatory Hold Period											
P6XELFLO 10/27/14 13.33.00 SUBM Disclosure provided at initiation											

SDNY\_GM\_00065522

**CONFIDENTIAL**

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	5,124.66	EUR	IBD				P6XEKCON	09.13.28	03.30.42	CWF89000001I

USDE : \$6,481.15  
 TRADE DATE: 10/24/2014  
 VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	RBOSJESX		ROYAL BANK OF SCOTLAND INTERNA	ST. HELIER	JERSEY	

SECOND

FFC

ULT BENE GB67RBOS16102850899700 HIGHLAND EUROPE TECHNOLOGY GROWTH L

ADDITIONAL INSTRUCTIONS : CHARLES R STUCKEY JR REVOCABLE TRUST  
 RELEASE DATE : 2014-10-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.

REASON : ACKNOWLEDGED  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWF89000001I  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.42	SNT	The Currency wire got settled.
MGNTLUB	10/24/14	10.19.29	PPR	
MGNTLUB	10/24/14	10.19.29	ULK	
MGNTLUB	10/24/14	10.19.29	APP	LOA / SUFF EUR / V/D 10-27
MGNTLUB	10/24/14	10.18.13	LCK	
WORKAUTO	10/24/14	10.09.46	APP	
P6XELDRA	10/24/14	10.09.46	ULK	
P6XELDRA	10/24/14	10.09.46	APP	CAPITAL CALL PAYMENT
P6XELDRA	10/24/14	10.08.36	LCK	

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 2

USER ID DATE TIME STATUS COMMENTS

P6XEKCON 10/24/14 09.13.28 SUBM

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
	1	54,157.74	GBP	IBD				P6XETTOT	14.12.38	10.07.12	CWF890000049

USDE : \$86,814.85  
TRADE DATE: 10/24/2014  
VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	MIDLGB22		HSBC BANK PLC	LONDON	UNITED KINGDO	

SECOND

FFC

ULT BENE GB54MIDL40050101099833 SOTHEBY'S

ADDITIONAL INSTRUCTIONS : SORT CODE 400501 INVOICE 92365788 FOR LAURENCE LORENZO LOPEZ  
RELEASE DATE : 2014-10-24  
RELEASE COMMENTS :

RULE NUMBER MESSAGE

03026 -MONEY FUND CUTOFF TIME HAS PASSED. INTEREST CHARGES MAY APPLY  
03100 -REG E ELIGIBLE WIRE  
03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
03301 -ALL CURRENCY WIRES TO APPROVAL.  
03419 -AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE

REASON : UPLOADING LOA AND HRM AND REG E ORAL DISCLOSURE

STANDING INSTRUCTION : N

CURRENCY WIRES REF NUMBER : IMD1CWF890000049

Reg E Eligible : Yes

Was a foreign exchange transaction completed in connection with this wire request : No

SDNY\_GM\_00065524

CONFIDENTIAL

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0028349  
EFTA\_00176024

EFTA01299506

USER ID	DATE	TIME	STATUS	COMMENTS
MGNKSMI	10/27/14	10.07.12	SNT	
MGNKSMI	10/27/14	10.07.12	ULK	
MGNKSMI	10/27/14	10.07.12	APP	PPWK RECD, DBAB, SUFF GBP, W9
MGNKSMI	10/27/14	10.06.59	LCK	
MGNKSMI	10/27/14	10.06.19	ULK	
MGNKSMI	10/27/14	10.06.13	LCK	
MGNKSMI	10/27/14	10.05.17	ULK	
MGNKSMI	10/27/14	10.05.08	LCK	
MGNKSMI	10/27/14	10.05.00	ULK	
MGNKSMI	10/27/14	10.04.43	LCK	
IRAPBEL	10/27/14	08.54.10	ULK	
IRAPBEL	10/27/14	08.54.10	APP	PPWK RECD, DBAB, SUFF GBP, W9
IRAPBEL	10/27/14	08.50.17	LCK	
P6XEATUB	10/27/14	08.46.04	ULK	
P6XEATUB	10/27/14	08.46.04	APP	
P6XEATUB	10/27/14	08.45.57	LCK	
P6XEATUB	10/27/14	08.42.06	ULK	
P6XEATUB	10/27/14	08.41.39	LCK	
MGNPBUN	10/24/14	17.13.36	ULK	
MGNPBUN	10/24/14	17.11.27	LCK	
WORKAUTO	10/24/14	16.33.37	APP	
P6XELDRA	10/24/14	16.33.36	ULK	
P6XELDRA	10/24/14	16.33.36	APP	PAYMENT FOR PURCHASE OF ART
P6XELDRA	10/24/14	16.31.44	LCK	
P6XELDRA	10/24/14	16.27.03	ULK	
P6XELDRA	10/24/14	16.19.04	LCK	
AMPSSY2	10/24/14	14.42.39	PAPP	
P6XETTOT	10/24/14	14.12.38	AWMH	Awaiting Mandatory Hold Period
P6XETTOT	10/24/14	14.12.38	SUBM	Disclosure provided at initiation

CONFIDENTIAL

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
		3,000.00	CAD		IBD			P6XELUHU	11.55.27	18.08.13	CWF8C0000034

USDE : \$2,673.08  
TRADE DATE: 10/27/2014  
VALUE DATE: 10/28/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	ROYCCAT2		ROYAL BANK OF CANADA	TORONTO	CANADA	

SECOND

FFC

ULT BENE : 5014279 MICHAEL VERCHERE

ADDITIONAL INSTRUCTIONS :  
RELEASE DATE : 2014-10-27  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.

REASON : PER CLIENT  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWF8C0000034  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNPBUN	10/27/14	18.08.13	PPR	
MGNPBUN	10/27/14	18.08.13	ULK	
MGNPBUN	10/27/14	18.08.13	APP	SUFF CAD APPROVED PER PRIOR APPROVER COMMENTS
MGNPBUN	10/27/14	18.07.49	LCK	
MGNMRAC	10/27/14	18.04.13	ULK	
MGNMRAC	10/27/14	18.04.13	ESC	SLOA RECD-IDENTIFIABLE-SUFF CAD AV
MGNMRAC	10/27/14	18.02.19	LCK	
WORKAUTO	10/27/14	15.12.55	APP	

USER ID	DATE	TIME	STATUS	COMMENTS
P6XERHOP	10/27/14	15.12.55	ULK	
P6XERHOP	10/27/14	15.12.55	APP	APPROVED PER THE BSO EXCEPTION FOR THE STANDING LOA.
P6XERHOP	10/27/14	15.12.04	LCK	
P6XERHOP	10/27/14	15.05.00	ULK	
P6XERHOP	10/27/14	14.59.18	LCK	
AMPSSY2	10/27/14	12.25.27	PAPP	
P6XELUHU	10/27/14	11.55.27	AWMH	Awaiting Mandatory Hold Period
P6XELUHU	10/27/14	11.55.27	SUBM	Disclosure provided at initiation

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
[REDACTED]	1	5,732.00	GBP	IBD				P6XEJPAR	13.55.54	18.20.08	CWFBC000004T

USDE : \$9,217.05  
 TRADE DATE: 10/27/2014  
 VALUE DATE: 10/28/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$7.50	OFFICE	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BARCGB22		BARCLAYS BANK PLC	LONDON	UNITED KINGDO	

SECOND

FFC

ULT BENE 80563269 WILLIAMS & HILL FORQARDING LIMITED

ADDITIONAL INSTRUCTIONS : JOB NO WHD68652 HUBBARDM 37FOURNIER STREET LONDON E1 6QE UNITED KINGDOM  
 RELEASE DATE : 2014-10-27  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03303	-LEGAL ADDRESS OF CLIENT REQUIRED IN THE ADDITIONAL INSTRUCTION FIELD.
09921	-AML/EXCEEDS TURNOVER RATIO: REG/FOR ACCT-246% OVER STANDARD TIMEFRAME

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 3

RULE NUMBER MESSAGE

-----  
09999 -AML/AGGREGATION ALERT- 3 RULES TRIGGERED IN 1 REQUESTS

REASON : UPLOADING DOCS; LEGAL ADDRESS ADDED

STANDING INSTRUCTION : N

CURRENCY WIRES REF NUMBER : IMD1CWF8C000004T

Reg E Eligible : Yes

Was a foreign exchange transaction completed in connection with this wire request : No

USER ID DATE TIME STATUS COMMENTS

-----  
MGNPBUN 10/27/14 18.20.08 PPR  
MGNPBUN 10/27/14 18.20.07 ULK  
MGNPBUN 10/27/14 18.20.07 APP SUFF GBP AVA APPROVED PER PRIOR APPROVER COMMENTS OK  
MGNPBUN 10/27/14 18.19.36 LCK  
MGNTLUB 10/27/14 18.14.28 ULK  
MGNTLUB 10/27/14 18.14.28 ESC LOA / LGL ADDR / SUFF GBP / V/D 10-28  
MGNTLUB 10/27/14 18.13.30 LCK  
WORKAUTO 10/27/14 15.15.29 APP  
P6XERHOP 10/27/14 15.15.29 ULK  
P6XERHOP 10/27/14 15.15.29 APP  
P6XERHOP 10/27/14 15.13.25 LCK  
AMPSSY2 10/27/14 14.25.55 PAPP  
P6XEJPAR 10/27/14 13.55.54 AWMH Awaiting Mandatory Hold Period  
P6XEJPAR 10/27/14 13.55.54 SUBM Disclosure provided at initiation  
=====

SDNY\_GM\_00065528

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)

CONFIDENTIAL

DB-SDNY-0028353

EFTA\_00176028

EFTA01299510

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	23,500.00	GBP	IBD				P6XEROEH	09.56.37	03.30.42	CWF89000001Z

USDE : \$37,670.50  
 TRADE DATE: 10/22/2014  
 VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	LOYDGB21086	SC301914	LLOYDS BANK PLC	BIRMINGHAM	UNITED KINGDO	

SECOND

FFC

ULT BENE GB47LOYD30191403738497 WESTLEY RICHARDS & CO., LTD

ADDITIONAL INSTRUCTIONS : WESTLEY RICHARDS ACCOUNT NUMBER 03738497 714 CRESCENT AVE GREENVILLE SC 29601  
 RELEASE DATE : 2014-10-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03303	-LEGAL ADDRESS OF CLIENT REQUIRED IN THE ADDITIONAL INSTRUCTION FIELD.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE

REASON : UPLOADING DOCUMENTS  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWF89000001Z  
 Reg E Eligible : Yes  
 Was a foreign exchange transaction completed in connection with this wire request : Yes  
 Exchange Rate : 1.6260 (Actual)  
 Currency : GBP

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.42	SNT	The Currency wire got settled.
MGNPBUN	10/24/14	12.19.41	PPR	
MGNPBUN	10/24/14	12.19.40	ULK	
MGNPBUN	10/24/14	12.19.40	APP	SUFF GBP V/D 10/27/APPROVED PER PRIOR APPROVER COMMENTS
MGNPBUN	10/24/14	12.18.45	LCK	
MGNTLUB	10/24/14	11.42.05	ULK	

SDNY\_GM\_00065529

**CONFIDENTIAL**

USER ID	DATE	TIME	STATUS	COMMENTS
MGNTLUB	10/24/14	11.42.05	APP	LOA / LGL ADDR / SUFF GBP / MMF / V/D 10-27
MGNTLUB	10/24/14	11.40.13	LCK	
WORKAUTO	10/24/14	10.42.23	APP	
P6XELGAS	10/24/14	10.42.23	ULK	
P6XELGAS	10/24/14	10.42.23	APP	
P6XELGAS	10/24/14	10.42.17	LCK	
P6XEMHEN	10/24/14	10.28.40	ULK	
P6XEMHEN	10/24/14	10.27.25	LCK	
AMPSSY2	10/24/14	10.26.40	PAPP	
P6XEROEH	10/24/14	09.56.38	AWMH	Awaiting Mandatory Hold Period
P6XEROEH	10/24/14	09.56.38	SUBM	Disclosure provided at initiation

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	10,000.00	EUR	IBD				P6XECHEI	09.51.38	14.05.41	CWF8C000001W

USDE : \$12,667.00  
 TRADE DATE: 10/23/2014  
 VALUE DATE: 10/28/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	DEUTDEB33		DEUTSCHE BANK PRIVAT-UND GESCH	MUENCHEN	GERMANY	

SECOND

FFC  
 ULT BENE DE25700700240025615600 JOSEPH M BRYAN JR TTEE OF JOSEPH M BRYAN JR REV TRUST

ADDITIONAL INSTRUCTIONS : 2317 PRINCESS ANN STREET GREENSBORONC 27408  
 RELEASE DATE : 2014-10-27  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 3

RULE NUMBER MESSAGE

-----  
03421 -REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.

REASON : FUNDS SETTLE TODAY  
STANDING INSTRUCTION : Y  
CURRENCY WIRES REF NUMBER : IMD1CWF8C000001W  
Reg E Eligible : No

USER ID DATE TIME STATUS COMMENTS

-----  
MGNAPER 10/27/14 14.05.41 PPR  
MGNAPER 10/27/14 14.05.41 ULK  
MGNAPER 10/27/14 14.05.41 APP STI-SUFF EUR AV FOR V/D 10/28/14-SUFF MMF TO CVR USD DEBIT & WIRE FEE/W9  
MGNAPER 10/27/14 14.05.20 LCK  
MGNMRAC 10/27/14 13.49.14 ULK  
MGNMRAC 10/27/14 13.49.14 APP STI-SUFF EUR AV FOR V/D 10/28/14-SUFF MMF TO CVR USD DEBIT & WIRE FEE  
MGNMRAC 10/27/14 13.31.00 LCK  
P6XELGAS 10/27/14 10.46.29 ULK  
P6XELGAS 10/27/14 10.46.29 APP  
P6XELGAS 10/27/14 10.46.24 LCK  
P6XECHEI 10/27/14 09.51.39 SUBM  
=====

SDNY\_GM\_00065531

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)

**CONFIDENTIAL**

DB-SDNY-0028356  
EFTA\_00176031

EFTA01299513

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	21,040.00	EUR		IBD			P6XESHAN	10.20.49	03.30.39	CWF89000002D

USDE : \$26,609.28  
TRADE DATE: 10/23/2014  
VALUE DATE: 10/27/2014

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BNPAFRPPCE		BNP-PARIBAS SA (FORMERLY BANQU	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7630004008040001097522236 SCI ORION 18

ADDITIONAL INSTRUCTIONS : RIB 30004008040001097522236 ACCOUNT0000005511648 FROM ELBAZE FAMILY REV TRUST  
RELEASE DATE : 2014-10-24  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03421	-REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.
09921	-AML/EXCEEDS TURNOVER RATIO: REG/DOM ACCT-332% OVER STANDARD TIMEFRAME
09955	-AML-VELOCITY TOTAL DISBURSEMENTS THRESHOLD EXCEEDED FOR AN EST PRO-CA
09957	-AML-VELOCITY TOTAL TRANSACTIONS THRESHOLD EXCEEDED FOR AN EST PRO-CAS
09958	-AML-VELOCITY-ACAM TRANSACTION THRESHOLD EXCEEDED FOR AN ESTABLISHED A
09999	-AML/AGGREGATION ALERT- 1 RULES TRIGGERED IN 1 REQUESTS

REASON : LOA ON FILE. THANKS]  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWF89000002D  
Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	10/27/14	03.30.39	SNT	The Currency wire got settled.
MGNTLUB	10/24/14	12.32.34	PPR	
MGNTLUB	10/24/14	12.32.34	ULK	

SDNY\_GM\_00065532

RUN DATE : 10/28/2014 - 00.06.43  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 10/27/2014

REPORT PAGE: 2

-----  
USER ID    DATE        TIME        STATUS    COMMENTS  
-----

MGNTLUB 10/24/14 12.32.34 APP        LOA / SUFF EUR / MMF TO CVR USD DEBT / V/D 10-27  
MGNTLUB 10/24/14 12.31.00 LCK  
WORKAUTO 10/24/14 12.30.08 APP  
P6XESYGR 10/24/14 12.26.12 ULK  
P6XESYGR 10/24/14 12.26.12 APP  
P6XESYGR 10/24/14 12.25.51 LCK  
P6XESHAN 10/24/14 10.20.49 SUBM  
-----

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
██████████	1	44,925.26	SGD		IBD			P6XESHUE	10.00.14	12.22.41	CWF8C000001Y
USDE : \$35,213.36											
TRADE DATE: 10/27/2014											
VALUE DATE: 10/28/2014											
FEE DESCRIPTION								AMOUNT CHARGE TO		WAIVE FEE REASON	
WIRE FEE								\$30.00 CUSTOMER		N/A	
BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN			NAME			CITY		COUNTRY	INVL
BANK	DBSSSGSG				DBS BANK LTD.			SINGAPORE		SINGAPORE	
SECOND											
FFC											
ULT BENE		0220220683			DANIEL PRINCE						
ADDITIONAL INSTRUCTIONS : 10 ETRICK TERRACE SINGAPORE 458578											
RELEASE DATE : 2014-10-27											
RELEASE COMMENTS :											
RULE NUMBER	MESSAGE										
02790	-THIRD PARTY REQUESTS REQUIRE PERSHING REVIEW.										
03100	-REG E ELIGIBLE WIRE										
03301	-ALL CURRENCY WIRES TO APPROVAL.										
REASON : STANDING											
STANDING INSTRUCTION : Y											
CURRENCY WIRES REF NUMBER : IMD1CWF8C000001Y											
Reg E Eligible : Yes											
Was a foreign exchange transaction completed in connection with this wire request : No											
USER ID	DATE	TIME	STATUS	COMMENTS							
P6XETBI3	10/27/14	12.22.42	PPR								
P6XETBI3	10/27/14	12.22.41	ULK								
P6XETBI3	10/27/14	12.22.41	APP								
P6XETBI3	10/27/14	12.20.50	LCK								
P6XETBI3	10/27/14	12.19.36	ULK								
P6XETBI3	10/27/14	12.18.36	LCK								
AMPSSY2	10/27/14	10.30.16	PAPP								
P6XESHUE	10/27/14	10.00.15	AWMH	Awaiting Mandatory Hold Period							

SDNY\_GM\_00065534

**CONFIDENTIAL**

USER ID	DATE	TIME	STATUS	COMMENTS
P6XESHUE	10/27/14	10.00.15	SUBM	Disclosure provided at initiation

**CONFIDENTIAL**