

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

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ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-002162	1	2,760.00	EUR	IBD				P6XEDSUM	09.07.09	03.31.09	CWG7F0000010
USDE : \$3,075.74											
TRADE DATE: 09/24/2015											
VALUE DATE: 09/25/2015											
FEE DESCRIPTION											
WIRE FEE											
								\$7.50	OFFICE		N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	DEUTDEFF		DEUTSCHE BANK AG	FRANKFURT AM MAIN	GERMANY	
SECOND						
FFC						
ULT BENE		958409510	DEUTSCHE BANK AG NEW YORK			

ADDITIONAL INSTRUCTIONS : IBAN DE67500700100958409510 ATTN PWM PCG SERVICE TEAM RIVERSIDE EUROPEFUND III LP 630 FIFTH AVE NY NY 1011  
 RELEASE DATE : 2015-09-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03151	-DELIVERING ACCOUNT HAS AN ACCOUNT HOLD INDICATOR-MARGIN REQUEST
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.

REASON : LOA UPLOADED.  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7F0000010  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.31.09	SNT	The Currency wire got settled.
MGNTLUB	09/24/15	10.47.58	PPR	
MGNTLUB	09/24/15	10.47.58	ULK	
MGNTLUB	09/24/15	10.47.58	APP	LOA / PRNTSHP / HOLD LIFTED BY MARGIN / SUFF EUR
MGNTLUB	09/24/15	10.44.36	LCK	
WORKAUTO	09/24/15	10.38.04	APP	
P6XEZKOZ	09/24/15	10.38.03	ULK	

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DB-SDNY-0028695  
 EFTA\_00176370

EFTA01299771

RUN DATE : 09/26/2015 - 00.11.11  
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USER ID DATE TIME STATUS COMMENTS

P6XEZKOZ 09/24/15 10.38.03 APP  
 P6XEZKOZ 09/24/15 10.35.19 LCK  
 P6XEDSUM 09/24/15 09.07.09 SUBM

ACCOUNT TYPE AMOUNT CCY BANK INIT DEPT DEPT INITIATED INITIATED UPDATED REQUEST  
 BY AT AT ID

N4G-014779 1 406,757.60 EUR IBD P6XHEADWY 12.24.02 08.16.02 CWG7F000005D

USDE : \$453,290.66

TRADE DATE: 09/24/2015

VALUE DATE: 09/25/2015

FEE DESCRIPTION AMOUNT CHARGE TO WAIVE FEE REASON

WIRE FEE \$7.50 OFFICE N/A

BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL

BANK DEUTDEFF DEUTSCHE BANK AG FRANKFURT AM MAIN GERMANY

SECOND

FFC

ULT BENE DE82500700100925815300 DEUTSCHE BANK AG

ADDITIONAL INSTRUCTIONS : GUARDIAN INVESTMENT COMPANY LTD

RELEASE DATE : 2015-09-24

RELEASE COMMENTS :

RULE NUMBER MESSAGE

03151 -DELIVERING ACCOUNT HAS AN ACCOUNT HOLD INDICATOR-COLLATERAL PLEDGE  
 03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
 03301 -ALL CURRENCY WIRES TO APPROVAL.  
 03419 -AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE  
 03421 -REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.  
 03504 -MULTIPLE REQUEST  
 03600 -LOA REQUIRED. PLEASE FAX TO PERSHING  
 09921 -AML/EXCEEDS TURNOVER RATIO: REG/FOR ACCT- 42% OVER STANDARD TIMEFRAME  
 09938 -ACCOUNT ON WATCH LIST - HIGH RISK ACCUNT PER PWM US KYC POLICY

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DB-SDNY-0028696  
 EFTA\_00176371

EFTA01299772

RUN DATE : 09/26/2015 - 00.11.11  
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ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
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REASON : EURO JOURNAL FROM ATTACHED ACCOUNT N4G013797 COMING IN TO COVER BALANCE  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7F000005D  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNKSMI	09/25/15	08.16.02	SNT	
MGNKSMI	09/25/15	08.16.02	ULK	
MGNKSMI	09/25/15	08.16.02	APP	SUFF EUR AVA V/D 9/25/USD DEBIT VS MMF/DBAB APPROVED /LOA RECD/CORP RES OK
MGNKSMI	09/25/15	08.15.50	LCK	
MGNJLAR	09/24/15	17.15.58	ULK	
MGNJLAR	09/24/15	17.15.58	HLD	PENDING ADDITIONAL ACTIVITY. PLEASE SEE PRIOR APPRVL COMMENTS
MGNJLAR	09/24/15	17.14.44	LCK	
MGNPBUN	09/24/15	17.11.18	ULK	
MGNPBUN	09/24/15	17.11.18	APP	SUFF EUR AVA V/D 9/25/USD DEBIT VS MMF/DBAB APPROVED /LOA RECD/CORP RES OK
MGNPBUN	09/24/15	17.10.59	LCK	
MGNPBUN	09/24/15	17.04.08	ULK	
MGNPBUN	09/24/15	17.04.08	HLD	PENDING ADDITIONAL ACTIVITY. SUFF EUR AVA V/D 9/25/USD DEBIT VS MMF/DBAB APPROVED /LOA RECD/CORP RES OK
MGNPBUN	09/24/15	17.02.10	LCK	
MGNPBUN	09/24/15	17.01.32	ULK	
MGNPBUN	09/24/15	16.51.55	LCK	
MGNPBUN	09/24/15	16.27.42	ULK	
MGNPBUN	09/24/15	16.26.23	LCK	
MGNPBUN	09/24/15	16.25.11	ULK	
MGNPBUN	09/24/15	16.23.16	LCK	
MGNPBUN	09/24/15	15.54.38	ULK	
MGNPBUN	09/24/15	15.54.38	HLD	PENDING ADDITIONAL ACTIVITY. PENDING DBAB(SUFF EUR AVA V/D 9/25/USD DEBIT VS MMF/DBAB/LOA RECD/CORP RES OK
MGNPBUN	09/24/15	15.48.01	LCK	
WORKAUTO	09/24/15	15.35.19	APP	
P6XEMAND	09/24/15	15.35.19	ULK	
P6XEMAND	09/24/15	15.35.19	APP	PENDING PROCESSING OF EURO JOURNAL FROM ACCOUNT N4G013797
P6XEMAND	09/24/15	15.34.17	LCK	
P6Xeadwy	09/24/15	12.24.02	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-014779	1	953,221,026.00	JPY		IBD			P6Xeadwy	12.04.10	03.30.42	CWG7F0000051
USDE		: \$7,911,734.51									
TRADE DATE:		09/24/2015									
VALUE DATE:		09/25/2015									
FEE DESCRIPTION		AMOUNT	CHARGE TO	WAIVE FEE REASON							
WIRE FEE		\$7.50	OFFICE	N/A							
BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL					

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DB-SDNY-0028697  
 EFTA\_00176372

EFTA01299773

RUN DATE : 09/26/2015 - 00.11.11  
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ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
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BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	DEUTJPJT		DEUTSCHE BANK AG	TOKYO	JAPAN	

SECOND

FFC

ULT BENE 85160720000JPY000TOK DEUTSCHE BANK AG

ADDITIONAL INSTRUCTIONS : GUARDIAN INVESTMENT COMPANY LTD  
RELEASE DATE : 2015-09-24  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03151	-DELIVERING ACCOUNT HAS AN ACCOUNT HOLD INDICATOR-COLLATERAL PLEDGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE
03421	-REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.
03504	-MULTIPLE REQUEST
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING
09921	-AML/EXCEEDS TURNOVER RATIO: REG/FOR ACCT-521% OVER STANDARD TIMEFRAME
09938	-ACCOUNT ON WATCH LIST - HIGH RISK ACCOUNT PER PWM US KYC POLICY

REASON : .  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7F0000051  
Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.30.42	SNT	The Currency wire got settled.
MGNJLAR	09/24/15	17.14.39	PPR	
MGNJLAR	09/24/15	17.14.38	ULK	
MGNJLAR	09/24/15	17.14.38	APP	PRIOR APPRVL COMMENTS VALID/AMT OK'D BY TREASURY
MGNJLAR	09/24/15	17.13.38	LCK	
MGNMRAC	09/24/15	17.08.42	ULK	
MGNMRAC	09/24/15	17.08.42	APP	DBAB INTERNAL WIRE-JPY AV 9/25-MMF TO CVR USD DR-TSY OK-OK PER SHARATH@DBAB.CR
MGNMRAC	09/24/15	17.06.35	LCK	
MGNMRAC	09/24/15	16.15.58	ULK	
MGNMRAC	09/24/15	16.15.58	HLD	PENDING ADDITIONAL INSTRUCTION. DBAB.CR APPVL-DBAB INTERNAL WIRE-SUFF JPY AV 9/25-MMF TO CVR USD DR-TSY OK/TONY
MGNMRAC	09/24/15	16.07.35	LCK	

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USER ID	DATE	TIME	STATUS	COMMENTS
P6XEMAND	09/24/15	15.59.35	ULK	
P6XEMAND	09/24/15	15.59.35	APP	
P6XEMAND	09/24/15	15.57.06	LCK	
P6XEMAND	09/24/15	15.57.06	ULK	
P6XEMAND	09/24/15	15.43.56	LCK	
P6XEMAND	09/24/15	15.41.30	ULK	
P6XEMAND	09/24/15	15.37.45	LCK	
P6XEMAND	09/24/15	15.37.45	ULK	
P6XEMAND	09/24/15	15.36.13	LCK	
P6XEMAND	09/24/15	15.34.17	ULK	
P6XEMAND	09/24/15	15.34.13	LCK	
P6XEADWY	09/24/15	12.04.10	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-022285	1	50,000.00	EUR		IBD			P6XEDSUM	14.06.55	14.38.51	CWG7G0000070

USDE : \$56,435.00  
 TRADE DATE: 09/25/2015  
 VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE	FEE REASON
WIRE FEE	\$7.50	OFFICE		N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	INGBFR21XXX		ING BANK FRANCE-RETAIL BANKING	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7630438001004000047950769 M. PAOLO GIULIO BONESCHI

ADDITIONAL INSTRUCTIONS : PAUL BLOCH INDV PROPERTY FX 1401 N.VIEW DRIVE MIAMI BEACH FL 33140  
 RELEASE DATE : 2015-09-25  
 RELEASE COMMENTS :

RULE NUMBER MESSAGE

03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW

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RULE NUMBER            MESSAGE  
 -----  
 03301            -ALL CURRENCY WIRES TO APPROVAL.  
 03504            -MULTIPLE REQUEST  
 09999            -AML/AGGREGATION ALERT-    1 RULES TRIGGERED IN    1 REQUESTS

REASON    : LOA UPLOADED.  
 STANDING INSTRUCTION    : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7G0000070  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNAKAN	09/25/15	14.38.51	PPR	
MGNAKAN	09/25/15	14.38.51	ULK	
MGNAKAN	09/25/15	14.38.51	APP	APPRVD PER L2 APPVR COMMENTS/HRM COMM SHEET RECD/SUFF EUR IS AVAILABLE
MGNAKAN	09/25/15	14.37.48	LCK	
MGNAPER	09/25/15	14.37.25	ULK	
MGNAPER	09/25/15	14.37.25	APP	FDS AVA VD 9-28/W9/MULTI/AML APP/LOA RECD/DBAB
MGNAPER	09/25/15	14.36.38	LCK	
WORKAUTO	09/25/15	14.23.00	APP	
P6XEZKOZ	09/25/15	14.22.59	ULK	
P6XEZKOZ	09/25/15	14.22.59	APP	
P6XEZKOZ	09/25/15	14.21.53	LCK	
P6XEDSUM	09/25/15	14.06.55	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-022285	1	1,720,815.38	EUR	IBD				P6XEDSUM	11.38.56	13.31.35	CWG7G000004N

USDE            : \$1,942,284.31  
 TRADE DATE: 09/25/2015  
 VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$7.50	OFFICE	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	INGBFRPP		ING BANK FRANCE COMMERCIAL BAN	PARIS	FRANCE	

SECOND  
 FFC

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DB-SDNY-0028700  
 EFTA\_00176375

EFTA01299776

RUN DATE : 09/26/2015 - 00.11.11  
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ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
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BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL

ULT BENE FR7630438000012717203000421 ING LEASING FRANCE

ADDITIONAL INSTRUCTIONS : PAUL BLOCH INDV PROPERTY FX 1401 N.VIEW DRIVE MIAMI BEACH FL 33140  
 RELEASE DATE : 2015-09-25  
 RELEASE COMMENTS :

RULE NUMBER MESSAGE

03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
 03301 -ALL CURRENCY WIRES TO APPROVAL.  
 03504 -MULTIPLE REQUEST  
 03600 -LOA REQUIRED. PLEASE FAX TO PERSHING  
 09924 -AML/EXCEEDS TURNOVER RATIO: MIN/DOM ACCT- 77% OVER STANDARD TIMEFRAME

REASON : LOA UPLOADED.  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7G000004N  
 Reg E Eligible : No

USER ID DATE TIME STATUS COMMENTS

MGNAKAN 09/25/15 13.31.35 PPR  
 MGNAKAN 09/25/15 13.31.35 ULK  
 MGNAKAN 09/25/15 13.31.35 APP APPROVED PER L2 APPVR COMMENTS/SUFF EUR/MULTIPLE REQS OKAY  
 MGNAKAN 09/25/15 13.31.01 LCK  
 MGNPBUN 09/25/15 13.29.14 ULK  
 MGNPBUN 09/25/15 13.29.14 APP SUFF EUR V/D 9/28/LOA RECD/CORP RES IMAGED./DBAB /AML APPROVED  
 MGNPBUN 09/25/15 13.28.42 LCK  
 MGNPBUN 09/25/15 12.41.39 ULK  
 MGNPBUN 09/25/15 12.41.39 HLD PENDING COMPLIANCE REVIEW. PENDING AML (SUFF EUR V/D 9/28/LOA RECD/CORP RES IMAGED./DBAB  
 MGNPBUN 09/25/15 12.31.04 LCK  
 WORKAUTO 09/25/15 11.59.13 APP  
 P6XEZKOZ 09/25/15 11.59.11 ULK  
 P6XEZKOZ 09/25/15 11.59.11 APP  
 P6XEZKOZ 09/25/15 11.57.02 LCK  
 P6XEDSUM 09/25/15 11.38.57 SUBM

ACCOUNT TYPE AMOUNT OCY BANK INIT DEPT DEPT INITIATED BY INITIATED AT UPDATED AT REQUEST ID

N4G-022285 1 1,744,815.38 EUR IBD P6XEDSUM 09.58.30 11.38.32 CWG7G000002V

USDE : \$1,969,373.11

TRADE DATE: 09/25/2015

VALUE DATE: 09/28/2015

FEE DESCRIPTION AMOUNT CHARGE TO WAIVE FEE REASON

WIRE FEE \$7.50 OFFICE N/A

BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL

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DB-SDNY-0028701  
 EFTA\_00176376

EFTA01299777

RUN DATE : 09/26/2015 - 00.11.11  
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BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	INGBFRPP		ING BANK FRANCE COMMERCIAL BAN	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7630438000012717203000421 ING LEASING FRANCE

ADDITIONAL INSTRUCTIONS : PAUL BLOCH INDV PROPERTY FX 1401 N.VIEW DRIVE MIAMI BEACH FL 33140  
 RELEASE DATE : 2015-09-25  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03504	-MULTIPLE REQUEST
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING
09924	-AML/EXCEEDS TURNOVER RATIO: MIN/DOM ACCT- 78% OVER STANDARD TIMEFRAME

REASON : LOA UPLOADED.  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER :  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
P6XEDSUM	09/25/15	11.38.32	CANC	
P6XEZKOZ	09/25/15	11.34.49	ULK	
P6XEZKOZ	09/25/15	11.31.03	LCK	
P6XEDSUM	09/25/15	09.58.31	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-022285	1	2,500.00	EUR	IBD				P6XEDSUM	13.57.15	14.35.14	CWG7G000006W

USDE : \$2,821.75  
 TRADE DATE: 09/25/2015  
 VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$7.50	OFFICE	N/A

SDNY\_GM\_00065877

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CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0028702  
 EFTA\_00176377

EFTA01299778

RUN DATE : 09/26/2015 - 00.11.11  
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ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
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BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BNPAFRPPXXX		BNP-PARIBAS SA (FORMERLY BANQU	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7630004007780000261369725 MLE HELENE DOUDEAU

ADDITIONAL INSTRUCTIONS : PAUL BLOCH INDV PROPERTY FX 1401 N.VIEW DRIVE MIAMI BEACH FL 33140  
RELEASE DATE : 2015-09-25  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03504	-MULTIPLE REQUEST
09999	-AML/AGGREGATION ALERT- 1 RULES TRIGGERED IN 1 REQUESTS

REASON : LOA UPLOADED.  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7G000006W  
Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNTLUB	09/25/15	14.35.14	PPR	
MGNTLUB	09/25/15	14.35.14	ULK	
MGNTLUB	09/25/15	14.35.14	APP	LOA / SUFF EUR / MULTI
MGNTLUB	09/25/15	14.26.22	LCK	
WORKAUTO	09/25/15	14.19.16	APP	
P6XEZKOZ	09/25/15	14.19.15	ULK	
P6XEZKOZ	09/25/15	14.19.15	APP	
P6XEZKOZ	09/25/15	14.18.50	LCK	
P6XEDSUM	09/25/15	13.57.15	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-022285	1	379,184.62	EUR	IBD				P6XEDSUM	13.49.09	14.37.41	CWG7G000006U

USDE : \$427,985.68  
TRADE DATE: 09/25/2015  
VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE	FEE REASON
WIRE FEE	\$7.50	OFFICE		N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
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SDNY\_GM\_00065878

RUN DATE : 09/26/2015 - 00.11.11  
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BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	HEBACY2N		HELLENIC BANK PUBLIC COMPANY L	NICOSIA (LEFKOSIA)	CYPRUS	

SECOND

FFC

ULT BENE                   CY24005001400001400172181201           MARINETRADE LIMITED

ADDITIONAL INSTRUCTIONS : PAUL BLOCH INDV PROPERTY FX 1401 N.VIEW DRIVE MIAMI BEACH FL 33140  
RELEASE DATE               : 2015-09-25  
RELEASE COMMENTS           :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03504	-MULTIPLE REQUEST
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING
09999	-AML/AGGREGATION ALERT- 1 RULES TRIGGERED IN 1 REQUESTS

REASON : LOA UPLOADED.  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7G000006U  
Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNAKAN	09/25/15	14.37.41	PPR	
MGNAKAN	09/25/15	14.37.41	ULK	
MGNAKAN	09/25/15	14.37.41	APP	APPROVED PER L2 APPVR COMMENTS/SUFF EUR IS AVAILABLE
MGNAKAN	09/25/15	14.36.55	LCK	
MGNAPER	09/25/15	14.36.32	ULK	
MGNAPER	09/25/15	14.36.32	APP	FDS AVA VD 9-28/W9/MULTI/AML APP/LOA RECD/DBAB
MGNAPER	09/25/15	14.34.11	LCK	
WORKAUTO	09/25/15	14.18.38	APP	
P6XEZKOZ	09/25/15	14.18.38	ULK	
P6XEZKOZ	09/25/15	14.18.38	APP	
P6XEZKOZ	09/25/15	14.17.12	LCK	
P6XEDSUM	09/25/15	13.49.09	SUBM	

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-022285	1	23,814.40	EUR	IBD				P6XEDSUM	09.39.27	12.22.19	CWG7G000002Q
USDE : \$26,879.31											
TRADE DATE: 09/25/2015											
VALUE DATE: 09/28/2015											
FEE DESCRIPTION											
AMOUNT CHARGE TO											
WAIVE FEE REASON											

WIRE FEE \$7.50 OFFICE N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	PASCITMIMI		BANCA MONTE DEI PASCHI DI SIEN	IMPERIA	ITALY	

SECOND

FFC

ULT BENE IT91D0103010500000000157806 MARITTIMA SERVICE GROUP SRL

ADDITIONAL INSTRUCTIONS : REF PAUL BLOCH ADDRESS PAUL BLOCH INDV PROPERTY FX 1401 N. VIEW DRIVEMIAM BEACH FL 33140  
 RELEASE DATE : 2015-09-25  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03504	-MULTIPLE REQUEST

REASON : LOA UPLOADED.  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7G000002Q  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNTLUB	09/25/15	12.22.19	PPR	
MGNTLUB	09/25/15	12.22.18	ULK	
MGNTLUB	09/25/15	12.22.18	APP	LOA / SUFF EUR
MGNTLUB	09/25/15	12.21.29	LCK	
WORKAUTO	09/25/15	11.59.52	APP	
P6XEZKOZ	09/25/15	11.59.51	ULK	
P6XEZKOZ	09/25/15	11.59.51	APP	
P6XEZKOZ	09/25/15	11.59.16	LCK	

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

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USER ID DATE TIME STATUS COMMENTS

P6XEDSUM 09/25/15 09.39.27 SUBM

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-022285 1		2,500.00	EUR		IBD			P6XEDSUM	09.36.54	10.09.08	CWG7G0000020

USDE : \$2,821.75  
TRADE DATE: 09/25/2015  
VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$7.50	OFFICE	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BNPAFRPPXXX		BNP-PARIBAS SA (FORMERLY BANQU	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7630004007780000261369725 MLE HELENE DOUDEAU

ADDITIONAL INSTRUCTIONS : REF PAUL BLOCH ADDRESS 1401 N. VIEWDRIVE MIAMI BEACH FL 33140  
RELEASE DATE : 2015-09-25  
RELEASE COMMENTS :

RULE NUMBER MESSAGE

03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
03301 -ALL CURRENCY WIRES TO APPROVAL.

REASON : LOA UPLOADED.  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER :  
Reg E Eligible : No

USER ID DATE TIME STATUS COMMENTS

P6XEDSUM 09/25/15 10.09.08 CANC  
P6XEDSUM 09/25/15 09.36.54 SUBM

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DB-SDNY-0028706  
EFTA\_00176381

EFTA01299782

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4G-024950	1	30,000.00	EUR		IBD			P6XEADWY	08.39.57	09.03.45	CWG7G000002F
USDE : \$33,861.00											
TRADE DATE: 09/25/2015											
VALUE DATE: 09/25/2015											
FEE DESCRIPTION											
AMOUNT CHARGE TO											
WAIVE FEE REASON											

WIRE FEE \$7.50 OFFICE N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	CCBFFRPPMTG		BANQUE POPULAIRE RIVES DE PARI	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7610207000852121190726369 ASS DES AMIS DE VALERIE LANG

ADDITIONAL INSTRUCTIONS : DOMICILIATION BPRIVES SEVRES DUROC00085  
 RELEASE DATE : 2015-09-25  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.

REASON : .  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7G000002F  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
IRAPBEL	09/25/15	09.03.45	SNT	
IRAPBEL	09/25/15	09.03.45	ULK	
IRAPBEL	09/25/15	09.03.45	APP	PFWK RECD/DB CALLED CLIENT TO VERIFY, SUFF EUR, W9
IRAPBEL	09/25/15	09.00.35	LCK	
WORKAUTO	09/25/15	08.48.38	APP	
P6XEZKOZ	09/25/15	08.47.48	ULK	
P6XEZKOZ	09/25/15	08.47.48	APP	
P6XEZKOZ	09/25/15	08.46.37	LCK	
P6XEADWY	09/25/15	08.39.57	SUBM	

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
N4H-037373	1	235,000.00	AUD		IBD			P6XEAHEW	17.01.26	09.49.59	CWG7F0000081

USDE : \$164,876.00  
 TRADE DATE: 09/24/2015  
 VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	MACQAU2S	AU184446	MACQUARIE BANK LIMITED	SYDNEY	AUSTRALIA	

SECOND

FFC

ULT BENE 301910311 LORIMER AND MAPLE REAL ESTATE PTY LTD SALES TRUST A/C

ADDITIONAL INSTRUCTIONS :  
 RELEASE DATE : 2015-09-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03026	-MONEY FUND CUTOFF TIME HAS PASSED. INTEREST CHARGES MAY APPLY
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03421	-REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING
09922	-AML/EXCEEDS TURNOVER RATIO: NEW/DOM ACCT- 97% OVER STANDARD TIMEFRAME
09999	-AML/AGGREGATION ALERT- 4 RULES TRIGGERED IN 1 REQUESTS

REASON : LOA  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7F0000081  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
FSCARDU	09/25/15	09.49.59	PPR	
FSCARDU	09/25/15	09.49.58	ULK	
FSCARDU	09/25/15	09.49.58	APP	FDS AVA VD 9-28/NO W8 W9/NO SALES/LOA RECD/AUTH SIGNER LIST IN HAND/DBAB; OK
FSCARDU	09/25/15	09.47.09	LCK	

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USER ID	DATE	TIME	STATUS	COMMENTS
MGNAPER	09/25/15	09.46.52	ULK	
MGNAPER	09/25/15	09.46.52	APP	FDS AVA VD 9-28/NO W8 W9/NO SALES/LOA RECD/AUTHORIZED SIGNER LIST IN HAND/DBAB
MGNAPER	09/25/15	09.45.01	LCK	
MGNAPER	09/25/15	09.41.24	ULK	
MGNAPER	09/25/15	09.38.37	LCK	
MGNAPER	09/25/15	09.16.28	ULK	
MGNAPER	09/25/15	09.16.28	HLD	PENDING ADDITIONAL DOCUMENTATION. LOA SIGNED BY BRIAN HODGES - TRUSTEE REQUIRED/ DANIE DEUTSCHE WORKING WITH IBD
MGNAPER	09/25/15	09.16.07	LCK	
MGNAPER	09/25/15	08.05.48	ULK	
MGNAPER	09/25/15	08.05.48	HLD	PENDING ADDITIONAL DOCUMENTATION. LOA SIGNED BY BRIAN HODGES - TRUSTEE REQUIRED/ DANIE DEUTSCHE WORKING WITH IBD
MGNAPER	09/25/15	08.05.30	LCK	
MGNAPER	09/25/15	07.03.23	ULK	
MGNAPER	09/25/15	07.03.23	HLD	PENDING ADDITIONAL DOCUMENTATION. LOA SIGNED BY BRIAN HODGES - TRUSTEE REQUIRED/ DANIE DEUTSCHE WORKING WITH IBD
MGNAPER	09/25/15	07.01.51	LCK	
MGNJLAR	09/24/15	17.52.52	ULK	
MGNJLAR	09/24/15	17.52.52	HLD	PENDING ADDITIONAL DOCUMENTATION. LOA SIGNED BY BRIAN HODGES - TRUSTEE REQUIRED/ DANIE DEUTSCHE WORKING WITH IBD
MGNJLAR	09/24/15	17.37.19	LCK	
MGNAPER	09/24/15	17.17.52	ULK	
MGNAPER	09/24/15	17.17.52	HLD	PENDING ADDITIONAL ACTIVITY. PAST CUT OFF
MGNAPER	09/24/15	17.17.26	LCK	
WORKAUTO	09/24/15	17.11.08	APP	
P6XEKMC	09/24/15	17.11.08	ULK	
P6XEKMC	09/24/15	17.11.08	APP	
P6XEKMC	09/24/15	17.11.04	LCK	
MGNAKAN	09/24/15	17.09.30	PAPP	
MGNAKAN	09/24/15	17.09.29	ULK	
MGNAKAN	09/24/15	17.09.23	LCK	
P6XEAHEW	09/24/15	17.01.26	POVR	

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
QJU-001220	1	182,296.23	EUR	IBD				P6XETDOM	10.11.35	10.11.35	CWG7G000002Z
USDE : \$205,757.75											
TRADE DATE: 09/25/2015											
VALUE DATE: 09/28/2015											
FEE DESCRIPTION											
WIRE FEE											
AMOUNT CHARGE TO											
WAIVE FEE REASON											
N/A											
BANK-INFO SWIFT ID											
LOCAL BRANCH CODE/ACCT NO/IBAN											
NAME											
CITY											
COUNTRY											
INVL											
BANK											
DEUTDEFF											
DEUTSCHE BANK AG											
FRANKFURT AM MAIN											
GERMANY											
SECOND											
FFC											
ULT BENE											
DE43500700100925799900											
DEUTSCHE BANK AG LONDON											
ADDITIONAL INSTRUCTIONS : BLVD MANUEL AVILA CAMACHO 76 202 LOMAS DE CHAPULTEPEC MEXICO CITY DF 11000 MEXICO											
RELEASE DATE : 2015-09-25											
RELEASE COMMENTS :											
RULE NUMBER											
MESSAGE											
03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW											
03301 -ALL CURRENCY WIRES TO APPROVAL.											
03419 -AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE											
03600 -LOA REQUIRED. PLEASE FAX TO PERSHING											
09921 -AML/EXCEEDS TURNOVER RATIO: REG/FOR ACCT-100% OVER STANDARD TIMEFRAME											
09938 -ACCOUNT ON WATCH LIST - PIC ACCOUNT											
09941 -AML-ACCT IS A FOREIGN POLITICAL OFFICIAL QJU001220											
REASON : SCANNING LOA											
STANDING INSTRUCTION : N											
CURRENCY WIRES REF NUMBER :											
Reg E Eligible : No											
USER ID											
DATE											
TIME											
STATUS											
COMMENTS											
P6XETDOM 09/25/15 10.11.35 SUBM											

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RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XF-902392	1	17,000.00	CHF		IBD			P6XECKR2	10.53.19	03.31.09	CWG7F000003W

USDE : \$17,359.32  
TRADE DATE: 09/22/2015  
VALUE DATE: 09/25/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	UBSWCHZH80A		UBS SWITZERLAND AG	ZURICH	SWITZERLAND	

SECOND

FFC

ULT BENE CH9000243243G76401690 INSTITUT LE ROSEY

ADDITIONAL INSTRUCTIONS : CARTER STONESIFER INVOICE REF 7643  
RELEASE DATE : 2015-09-24  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03421	-REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.

REASON : LOA ON FILE  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7F000003W  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.31.09	SNT	The Currency wire got settled.
MGNTLUB	09/24/15	13.00.50	PPR	
MGNTLUB	09/24/15	13.00.50	ULK	
MGNTLUB	09/24/15	13.00.50	APP	LOA / SUFF CHF
MGNTLUB	09/24/15	12.59.15	LCK	
WORKAUTO	09/24/15	12.50.23	APP	
P6XETWIG	09/24/15	12.50.23	ULK	

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DB-SDNY-0028711  
EFTA\_00176386

EFTA01299787

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 2

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USER ID    DATE    TIME    STATUS    COMMENTS  
-----

P6XETWIG	09/24/15	12.50.23	APP	
P6XETWIG	09/24/15	12.44.12	LCK	
P6XETWIG	09/24/15	12.05.05	ULK	
P6XETWIG	09/24/15	11.44.06	LCK	
P6XETWIG	09/24/15	11.39.59	ULK	
P6XETWIG	09/24/15	11.37.41	LCK	
AMPSSY2	09/24/15	11.23.20	PAPP	
P6XECKR2	09/24/15	10.53.19	AWMH	Awaiting Mandatory Hold Period
P6XECKR2	09/24/15	10.53.19	SUBM	Disclosure provided at initiation

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DB-SDNY-0028712  
EFTA\_00176387

EFTA01299788

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XG-030284	1	3,086.25	EUR	IBD				P6XEGVAL	08.39.27	03.30.15	CWG7F000001L

USDE : \$3,439.31  
 TRADE DATE: 09/24/2015  
 VALUE DATE: 09/25/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	IP	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BAPPITT2		BANCO POPOLARE DI VERONA	VERONA	ITALY	

SECOND

FFC

ULT BENE IT86C05034020000000000000671 CARLO MORETTI SPA

ADDITIONAL INSTRUCTIONS :  
 RELEASE DATE : 2015-09-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03151	-DELIVERING ACCOUNT HAS AN ACCOUNT HOLD INDICATOR-ACCOUNT GUARANTY
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.

REASON : LOA UPLOADED  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7F000001L  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.30.15	SNT	The Currency wire got settled.
MGNTLUB	09/24/15	13.52.01	PPR	
MGNTLUB	09/24/15	13.52.00	ULK	
MGNTLUB	09/24/15	13.52.00	APP	LOA / LLC / COMB ACCT EXCSS / SUFF EUR / MMF
MGNTLUB	09/24/15	13.46.30	LCK	
WORKAUTO	09/24/15	13.26.42	APP	
P6XELGAL	09/24/15	13.26.41	ULK	
P6XELGAL	09/24/15	13.26.41	APP	

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DB-SDNY-0028713  
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EFTA01299789

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

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USER ID DATE TIME STATUS COMMENTS

P6XELGAL 09/24/15 13.26.34 LCK  
P6XEGVAL 09/24/15 08.39.28 SUBM

ACCOUNT TYPE AMOUNT CCY BANK INIT DEPT DEPT INITIATED INITIATED UPDATED REQUEST  
BY AT AT ID  
5XG-044806 1 271,980.00 JPY IBD P6XEMSWE 10.36.41 11.25.14 CWG7G000003C  
USDE : \$2,279.73  
TRADE DATE: 09/25/2015  
VALUE DATE: 09/28/2015

FEE DESCRIPTION AMOUNT CHARGE TO WAIVE FEE REASON  
WIRE FEE \$30.00 IP N/A

BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL  
BANK MHCBJPJT MIZUHO BANK, LTD. TOKYO JAPAN

SECOND

FFC

ULT BENE 1426352 NISHIMURA AND ASAHI

ADDITIONAL INSTRUCTIONS : REFERENCE 15015732 WISDOMTREE  
RELEASE DATE : 2015-09-25  
RELEASE COMMENTS :

RULE NUMBER MESSAGE

03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
03301 -ALL CURRENCY WIRES TO APPROVAL.  
09924 -AML/EXCEEDS TURNOVER RATIO: MIN/DOM ACCT-100% OVER STANDARD TIMEFRAME  
09999 -AML/AGGREGATION ALERT- 25 RULES TRIGGERED IN 9 REQUESTS

REASON : ATTACHING LOA  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7G000003C  
Reg E Eligible : No

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RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

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USER ID	DATE	TIME	STATUS	COMMENTS
MGNTLUB	09/25/15	11.25.14	PPR	
MGNTLUB	09/25/15	11.25.13	ULK	
MGNTLUB	09/25/15	11.25.13	APP	LOA / CORP / SUFF JPY
MGNTLUB	09/25/15	11.23.48	LCK	
WORKAUTO	09/25/15	11.08.12	APP	
P6XELGAL	09/25/15	11.08.11	ULK	
P6XELGAL	09/25/15	11.08.11	APP	
P6XELGAL	09/25/15	11.08.09	LCK	
P6XEMSWE	09/25/15	10.36.41	SUBM	

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XM-061399	1	100,000.00	GBP	IBD				P6XEKROS	11.16.06	13.06.37	CWG7G0000040

USDE : \$152,720.00  
TRADE DATE: 09/25/2015  
VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BARCGB22		BARCLAYS BANK PLC	LONDON	UNITED KINGDO	

SECOND

FFC

ULT BENE GB17BARC20510160730440 RATHBONE INVESTMENT MANAGEMENT LTD

ADDITIONAL INSTRUCTIONS : REF FFCT JD AND MRS D J HIGGONS ACNO 118294 RATHBONE STERLING ACCT 60730440  
RELEASE DATE : 2015-09-25  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03151	-DELIVERING ACCOUNT HAS AN ACCOUNT HOLD INDICATOR-COMBINED MARGIN ACCT
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING
09951	-AML-VELOCITY JOURNAL TRANSACTION THRESHOLD EXCEEDED FOR AN ESTABLISHE
09952	-AML-VELOCITY FED FUND TRANSACTION THRESHOLD EXCEEDED FOR AN ESTABLISH
09953	-AML-VELOCITY TOTAL DEPOSITS THRESHOLD EXCEEDED FOR AN ESTABLISHED ACC
09954	-AML-VELOCITY TOTAL DISBURSEMENTS THRESHOLD EXCEEDED FOR AN ESTABLISHE

REASON : UPLOADING LOA AND HRM  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7G0000040  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNAKAN	09/25/15	13.06.37	PPR	

SDNY\_GM\_00065891

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 2

USER ID	DATE	TIME	STATUS	COMMENTS
MGNAKAN	09/25/15	13.06.36	ULK	
MGNAKAN	09/25/15	13.06.36	APP	APPROVED PER L2 APPVR COMMENTS/COMBINED ACCT 6XE995180 HAS SUFF EXCESS
MGNAKAN	09/25/15	13.05.29	LCK	
MGNPBUN	09/25/15	12.56.39	ULK	
MGNPBUN	09/25/15	12.56.39	APP	SUFF GBP V/D 9/28/WIRE FEE & USD DEBIT VS MMF/LOA RECD/DBAB/COMBINED XCS
MGNPBUN	09/25/15	12.52.45	LCK	
WORKAUTO	09/25/15	12.24.55	APP	
P6XEATUB	09/25/15	12.24.55	ULK	
P6XEATUB	09/25/15	12.24.55	APP	RATHBONE INVESTMENT MANAGEMENT IS THE HIGGONS INVESTMENT ACCOUNT IN THE UK.
P6XEATUB	09/25/15	12.18.40	LCK	
AMPSSY2	09/25/15	11.46.08	PAPP	
P6XEKROS	09/25/15	11.16.07	AWMH	Awaiting Mandatory Hold Period
P6XEKROS	09/25/15	11.16.07	SUBM	Disclosure provided at initiation

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID	
5XM-065523	1	450,000.00	EUR	IBD				P6XEYKOE	11.51.34	12.21.36	CWG7G0000042	
USDE : \$507,915.00												
TRADE DATE: 09/25/2015												
VALUE DATE: 09/28/2015												
FEE DESCRIPTION								AMOUNT	CHARGE TO	WAIVE FEE REASON		
WIRE FEE								\$30.00	CUSTOMER	N/A		
BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN			NAME	CITY			COUNTRY	INVL		
BANK	UBSWCHZH80A				UBS SWITZERLAND AG	ZURICH			SWITZERLAND			
SECOND												
FFC												
ULT BENE		CH570024024021912060H			CARUGATI AUTOMOBILES SA							
ADDITIONAL INSTRUCTIONS : REF FRANK SELLDORFF NO 125 B 15 PAGANI ZONDA												
RELEASE DATE : 2015-09-25												
RELEASE COMMENTS :												

SDNY\_GM\_00065892

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DB-SDNY-0028717  
 EFTA\_00176392

EFTA01299793

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 3

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03600	-LOA REQUIRED. PLEASE FAX TO PERSHING
09921	-AML/EXCEEDS TURNOVER RATIO: REG/DOM ACCT-102% OVER STANDARD TIMEFRAME
09951	-AML-VELOCITY JOURNAL TRANSACTION THRESHOLD EXCEEDED FOR AN ESTABLISHE
09952	-AML-VELOCITY FED FUND TRANSACTION THRESHOLD EXCEEDED FOR AN ESTABLISH
09954	-AML-VELOCITY TOTAL DISBURSEMENTS THRESHOLD EXCEEDED FOR AN ESTABLISHE
09956	-AML-VELOCITY TOTAL TRANSACTIONS THRESHOLD EXCEEDED FOR AN ESTABLISHED
09999	-AML/AGGREGATION ALERT- 15 RULES TRIGGERED IN 1 REQUESTS

REASON : LOA UPLOADED  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER :  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
AMPSSY2	09/25/15	12.21.36	PAPP	
P6XEYKOZ	09/25/15	11.51.35	AWMH	Awaiting Mandatory Hold Period
P6XEYKOZ	09/25/15	11.51.35	SUBM	Disclosure provided at initiation

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

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ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XN-060648	1	5,101.05	EUR	IBD				P6XEHUNT	11.39.19	03.31.03	CWG7F000004L
USDE : \$5,684.61											
TRADE DATE: 09/24/2015											
VALUE DATE: 09/25/2015											
FEE DESCRIPTION											
WIRE FEE											
								\$30.00	CUSTOMER	N/A	

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	CCFRFRPP		HSBC FRANCE (FORMERLY HSBC CCF	PARIS	FRANCE	

SECOND

FFC

ULT BENE FR7630056000700070248290074 HENNER - GMC

ADDITIONAL INSTRUCTIONS : TRANSACTION NUMBER A467167493F  
 RELEASE DATE : 2015-09-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE

REASON : OK  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7F000004L  
 Reg E Eligible : Yes  
 Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.31.03	SNT	The Currency wire got settled.
MGNPBUN	09/24/15	14.47.02	PPR	
MGNPBUN	09/24/15	14.47.02	ULK	
MGNPBUN	09/24/15	14.47.02	APP	SUFF EUR V/D 9/25 PER PRIOR APPROVER COMMENTS
MGNPBUN	09/24/15	14.46.25	LCK	
MGNTLUB	09/24/15	14.27.33	ULK	
MGNTLUB	09/24/15	14.27.33	APP	LOA / SUFF EUR / MMF TO CVR USD DEBIT

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DB-SDNY-0028719  
 EFTA\_00176394

EFTA01299795

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 2

USER ID	DATE	TIME	STATUS	COMMENTS
MGNTLUB	09/24/15	14.22.08	LCK	
WORKAUTO	09/24/15	13.50.42	APP	
P6XELOSS	09/24/15	13.50.42	ULK	
P6XELOSS	09/24/15	13.50.42	APP	REG E COMPLETE
P6XELOSS	09/24/15	13.50.30	LCK	
AMPSSY2	09/24/15	12.09.21	PAPP	
P6XEHUNT	09/24/15	11.39.19	AWMH	Awaiting Mandatory Hold Period
P6XEHUNT	09/24/15	11.39.19	SUBM	Disclosure provided at initiation

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XN-934784	1	112,902.70	EUR	IBD				P6XECROM	15.21.21	08.16.11	CWG7F000007B

USDE : \$125,818.76  
 TRADE DATE: 09/24/2015  
 VALUE DATE: 09/25/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	DEUTPTPL		DEUTSCHE BANK AG PORTUGAL BRAN	LISBON	PORTUGAL	

SECOND

FFC

ULT BENE PT50004300010400106674373 SOCIEADE COMERCIAL DE LEILOES O PR

ADDITIONAL INSTRUCTIONS : LEILAO 171 LOTE 145 FACTURA FTC 1509 35  
 RELEASE DATE : 2015-09-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03026	-MONEY FUND CUTOFF TIME HAS PASSED. INTEREST CHARGES MAY APPLY
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03419	-AMOUNT REQUESTED IS GREATER THAN FUNDS AVAILABLE

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DB-SDNY-0028720  
 EFTA\_00176395

EFTA01299796

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 3

RULE NUMBER            MESSAGE  
-----  
03600            -LOA REQUIRED. PLEASE FAX TO PERSHING

REASON    : IT IS CURRENCY NOT MONEY FUND  
STANDING INSTRUCTION    : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7F000007B  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNKSMI	09/25/15	08.16.11	SNT	
MGNKSMI	09/25/15	08.16.11	ULK	
MGNKSMI	09/25/15	08.16.11	APP	FDS AVA VD 9-25/W9/LOA RECD/DBAB/FDS TO COVER FEE
MGNKSMI	09/25/15	08.16.02	LCK	
MGNAPER	09/25/15	07.01.49	ULK	
MGNAPER	09/25/15	07.01.49	APP	FDS AVA VD 9-25/W9/LOA RECD/DBAB/FDS TO COVER FEE
MGNAPER	09/25/15	07.01.03	LCK	
MGNAPER	09/24/15	17.01.28	ULK	
MGNAPER	09/24/15	17.01.28	HLD	PENDING ADDITIONAL ACTIVITY. PAST CUT OFF / FDS AVA VD 9-25/W9/LOA RECD/DBAB
MGNAPER	09/24/15	16.59.23	LCK	
WORKAUTO	09/24/15	16.37.32	APP	
P6XELOSS	09/24/15	16.37.32	ULK	
P6XELOSS	09/24/15	16.37.32	APP	REG E COMPLETE
P6XELOSS	09/24/15	16.37.21	LCK	
AMPSSY2	09/24/15	15.51.22	PAPP	
P6XECROM	09/24/15	15.21.21	AWMH	Awaiting Mandatory Hold Period
P6XECROM	09/24/15	15.21.21	SUBM	Disclosure provided at initiation

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ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XR-179634	1	8,546.35	AUD	IBD				P6XEKMUR	10.03.28	03.30.54	CWG7F0000027

USDE : \$5,996.11  
 TRADE DATE: 09/24/2015  
 VALUE DATE: 09/25/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	CTBAAU2S	AU062000	COMMONWEALTH BANK OF AUSTRALIA	SYDNEY	AUSTRALIA	

SECOND

FFC

ULT BENE 14156758 PERPETUAL CORPORATE TRUST LIMITED ACF CHAMP VENTURES INVESTMENTS 7 LP

ADDITIONAL INSTRUCTIONS : REF MZ ASSOCIATES LLC 1 PEACH HILLRD DARIEN CT 06820 2821  
 RELEASE DATE : 2015-09-24  
 RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.
03504	-MULTIPLE REQUEST
09921	-AML/EXCEEDS TURNOVER RATIO: REG/DOM ACCT- 72% OVER STANDARD TIMEFRAME

REASON : LOA ON FILE  
 STANDING INSTRUCTION : N  
 CURRENCY WIRES REF NUMBER : IMD1CWG7F0000027  
 Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.30.54	SNT	The Currency wire got settled.
MGNTLUB	09/24/15	15.01.58	PPR	
MGNTLUB	09/24/15	15.01.57	ULK	
MGNTLUB	09/24/15	15.01.57	APP	LOA / LLC / MULTI / TYP JNL / SUFF AUD
MGNTLUB	09/24/15	15.01.05	LCK	
WORKAUTO	09/24/15	14.46.51	APP	
P6XEBKOS	09/24/15	14.46.51	ULK	

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 2

USER ID DATE TIME STATUS COMMENTS

P6XEBKOS 09/24/15 14.46.51 APP  
P6XEBKOS 09/24/15 14.46.44 LCK  
P6XEKMUR 09/24/15 10.03.28 SUBM

ACCOUNT TYPE AMOUNT CCY BANK INIT DEPT DEPT INITIATED INITIATED UPDATED REQUEST  
BY AT AT ID  
5XR-179634 1 32,800.35 AUD IBD P6XEKMUR 09.58.55 03.30.58 CWG7F0000024

USDE : \$23,012.72  
TRADE DATE: 09/24/2015  
VALUE DATE: 09/25/2015

FEE DESCRIPTION AMOUNT CHARGE TO WAIVE FEE REASON  
WIRE FEE \$30.00 CUSTOMER N/A

BANK-INFO SWIFT ID LOCAL BRANCH CODE/ACCT NO/IBAN NAME CITY COUNTRY INVL

BANK CTBAAU2S AU062000 COMMONWEALTH BANK OF AUSTRALIA SYDNEY AUSTRALIA

SECOND

FFC

ULT BENE 14156571 PERPETUAL CORPORATE TRUST LTD ATF CHAMP VENTURES INVESTMENTS TRUST 7A

ADDITIONAL INSTRUCTIONS : REF MZ ASSOCIATES LLC 1 PEACH HILLRD DARIEN CT 06820 2821  
RELEASE DATE : 2015-09-24  
RELEASE COMMENTS :

RULE NUMBER MESSAGE

03300 -THIRD PARTY REQUEST REQUIRES PERSHING REVIEW  
03301 -ALL CURRENCY WIRES TO APPROVAL.  
09921 -AML/EXCEEDS TURNOVER RATIO: REG/DOM ACCT- 73% OVER STANDARD TIMEFRAME

REASON : LOA ON FILE  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7F0000024  
Reg E Eligible : No

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DB-SDNY-0028723  
EFTA\_00176398

EFTA01299799

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 3

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.30.58	SNT	The Currency wire got settled.
MGNTLUB	09/24/15	15.00.59	PPR	
MGNTLUB	09/24/15	15.00.59	ULK	
MGNTLUB	09/24/15	15.00.59	APP	LOA / LLC / MULTI / TYP JNL / SUFF AUD
MGNTLUB	09/24/15	14.58.31	LCK	
WORKAUTO	09/24/15	14.46.40	APP	
P6XEBKOS	09/24/15	14.46.40	ULK	
P6XEBKOS	09/24/15	14.46.40	APP	
P6XEBKOS	09/24/15	14.46.29	LCK	
P6XEKMUR	09/24/15	09.58.55	SUBM	

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5XW-025385	1	60.00	CHF		IBD			P6XEVALB	10.23.18	03.31.16	CWG7F000002H
USDE : \$61.26											
TRADE DATE: 09/24/2015											
VALUE DATE: 09/25/2015											
FEE DESCRIPTION											
AMOUNT CHARGE TO											
WAIVE FEE REASON											
-----											
WIRE FEE											
\$30.00 CUSTOMER											
N/A											

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BLKBCH22		BASELLANDSCHAFTLICHE KANTONALE	LIRSTAL	SWITZERLAND	

SECOND

FFC

ULT BENE CH6800769016206621064 POLIZEI BASEL LONDSCHAFT

ADDITIONAL INSTRUCTIONS : GKNR55589061303 10830 PLEASANT HILL DR. POTOMAC MD 20854  
RELEASE DATE : 2015-09-24  
RELEASE COMMENTS :

RULE NUMBER	MESSAGE
03100	-REG E ELIGIBLE WIRE
03300	-THIRD PARTY REQUEST REQUIRES PERSHING REVIEW
03301	-ALL CURRENCY WIRES TO APPROVAL.

REASON : PER THE CLIENT'S REQUEST  
STANDING INSTRUCTION : N  
CURRENCY WIRES REF NUMBER : IMD1CWG7F000002H  
Reg E Eligible : Yes  
Was a foreign exchange transaction completed in connection with this wire request : No

USER ID	DATE	TIME	STATUS	COMMENTS
CPSAMPS	09/25/15	03.31.16	SNT	The Currency wire got settled.
MGNTLUB	09/24/15	13.02.21	PPR	
MGNTLUB	09/24/15	13.02.20	ULK	
MGNTLUB	09/24/15	13.02.20	APP	LOA / CHF
MGNTLUB	09/24/15	13.01.16	LCK	
WORKAUTO	09/24/15	12.59.53	APP	
P6XELKRU	09/24/15	12.59.53	ULK	
P6XELKRU	09/24/15	12.59.53	APP	

SDNY\_GM\_00065900

RUN DATE : 09/26/2015 - 00.11.11  
REPORT ID: MBS050CA-02  
IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 2

USER ID	DATE	TIME	STATUS	COMMENTS
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P6XELKRU	09/24/15	12.59.49	LCK	
AMPSSY2	09/24/15	10.53.18	PAPP	
P6XEVALB	09/24/15	10.23.18	AWMH	Awaiting Mandatory Hold Period
P6XEVALB	09/24/15	10.23.18	SUBM	Disclosure provided at initiation

RUN DATE : 09/26/2015 - 00.11.11  
 REPORT ID: MBS050CA-02  
 IBD NO : 6XE

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES  
 ACTIVITY REPORT FOR THE DATE : 09/25/2015

REPORT PAGE: 1

ACCOUNT	TYPE	AMOUNT	CCY	BANK	INIT	DEPT	DEPT	INITIATED BY	INITIATED AT	UPDATED AT	REQUEST ID
5ZX-009003	1	1,000.00	GBP	IBD				P6XEJCLI	10.11.14	11.26.19	CWG7G000002X

USDE : \$1,527.20  
 TRADE DATE: 09/21/2015  
 VALUE DATE: 09/28/2015

FEE DESCRIPTION	AMOUNT	CHARGE TO	WAIVE FEE REASON
WIRE FEE	\$30.00	CUSTOMER	N/A

BANK-INFO	SWIFT ID	LOCAL BRANCH CODE/ACCT NO/IBAN	NAME	CITY	COUNTRY	INVL
BANK	BARCGB22		BARCLAYS BANK PLC	LONDON	UNITED KINGDO	

SECOND

FFC

ULT BENE GB88BARC20944830804568 E. S. ROGERS & V. A. ROGERS

ADDITIONAL INSTRUCTIONS : BANK ADDRESS WESTMINSTER BRANCH 2 VICTORIA ST LONDON SW1H 0ND ENGLANDSORT CODE 20 9448 ACCOUNT NUMBER 30 04568

RELEASE DATE : 2015-09-25

RELEASE COMMENTS :

RULE NUMBER MESSAGE

02790 -THIRD PARTY REQUESTS REQUIRE PERSHING REVIEW.  
 03301 -ALL CURRENCY WIRES TO APPROVAL.  
 03421 -REQUEST MAY BE SUBJECT TO TAX WITHHOLDINGS DUE TO W8/W9. PLEASE REVIEW.

REASON : ACKNOWLEDGED

STANDING INSTRUCTION : Y

CURRENCY WIRES REF NUMBER : IMD1CWG7G000002X

Reg E Eligible : No

USER ID	DATE	TIME	STATUS	COMMENTS
MGNTLUB	09/25/15	11.26.19	PPR	
MGNTLUB	09/25/15	11.26.19	ULK	
MGNTLUB	09/25/15	11.26.19	APP	STI / SUFF GBP
MGNTLUB	09/25/15	11.25.46	LCK	
P6XEMIL2	09/25/15	10.39.37	ULK	
P6XEMIL2	09/25/15	10.39.37	APP	
P6XEMIL2	09/25/15	10.39.19	LCK	

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DB-SDNY-0028727  
 EFTA\_00176402

EFTA01299803

RUN DATE : 09/26/2015 - 00.11.11

ASSET MOVEMENT ACTIVITY - CURRENCY WIRES

REPORT PAGE: 2

REPORT ID: MBS050CA-02

ACTIVITY REPORT FOR THE DATE : 09/25/2015

IBD NO : 6XE

USER ID	DATE	TIME	STATUS	COMMENTS
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P6XEJCLI	09/25/15	10.11.14	SUBM	
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