



**Annex 3: List of positions represented in the Committee and Secretary**

| Position title                                   | Member name   | Legal entity                                   | Country | Email address |
|--------------------------------------------------|---------------|------------------------------------------------|---------|---------------|
| <b>Chairperson</b>                               |               |                                                |         |               |
| DWS USA Risk Advisor                             | Steven Ekert  | Deutsche Bank AG, Filiale New York             | USA     | [REDACTED]    |
| <b>Vice-chairperson</b>                          |               |                                                |         |               |
| Chief Operating Officer – Americas               | Stuart Clarke | Deutsche Bank Americas Holding Corp.           | USA     | [REDACTED]    |
| <b>Voting Members</b>                            |               |                                                |         |               |
| General Counsel Americas                         | Steven Reich  | Deutsche Bank AG, Filiale New York             | USA     | [REDACTED]    |
| Head of Government and Public Affairs – Americas | Francis Kelly | Deutsche Bank Securities Inc.                  | USA     | [REDACTED]    |
| Chief Financial Officer – Americas (incl. IHC)   | Eric Smith    | Deutsche Bank AG, Filiale New York             | USA     | [REDACTED]    |
| Regional Head of Compliance – Americas           | Jan Ford      | Deutsche Bank Securities Inc.                  | USA     | [REDACTED]    |
| Regional Head of NFRM Americas                   | Ann Rodriguez | Deutsche Bank Trust Company Americas, New York | USA     | [REDACTED]    |
| Head of Anti-Financial Crime – Americas          | Rich Weber    | DB USA Core Corporation                        | USA     | [REDACTED]    |
| <b>Non-voting Members</b>                        |               |                                                |         |               |
| Regional Head of Investigation                   | Stephen Doka  | Deutsche Bank AG, Filiale New York             | USA     | [REDACTED]    |

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