

From: Amanda Kirby/db/dbcom
To: [REDACTED]
Date: 10/21/2013 03:04 PM
Subject: Fw: Southern Financial [I]

Classification: For internal use only

Hi Lisa,

Did account# [REDACTED] receive the below 2 positions? I know you reached out to JPM on Friday to let them know the shell account had been set up. Any update?

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas

[REDACTED]

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----- Forwarded by Amanda Kirby/db/dbcom on 10/21/2013 03:03 PM -----

From: Harry Beller [REDACTED]
To: [REDACTED]
Date: 10/21/2013 03:02 PM
Subject: Southern Financial

Amanda

Just following up.

Please confirm whether DB received 78,709 shares of JPM Value Advantage Fund (JVAIX) and 49,505 shares of JPM Latin America (JLTSX) for the account of Southern Financial LLC

thanks

Harry