

From: Vahe Stepanian [REDACTED]
Sent: 12/2/2013 10:22:42 AM
To: [REDACTED]
CC: Amanda Kirby [REDACTED]; Lisa D Hurd [REDACTED]; [REDACTED]; [REDACTED]
Subject: Re: Fw: Epstein - Assets to transfer [C]
Attachments: pic02066.gif; pic27633.gif

Classification: Confidential

Janet,

Hope you had a great weekend. Thank you in advance for your help with the below. Please see my notes below in red.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing LLC A/C # [REDACTED] Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN / 17% CAP
Cusip: 40432XFP1 - tx via DTC - Okay to free deliver: Pershing DTC # = 0443

- 1,000,000 JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0% CPN / 25% CAP
Cusip: 48126NVE4 - tx via DTC - Okay to free deliver: Pershing DTC # = 0443

- 2,000,000 SG MARKET PLUS WTI CRUDE 08/05/14 LNKD TO CL1 78.75% BARRIER- 10%CPN ,UNCAPPED
Cusip: 78423EHS6 - tx via DTC- Okay to free deliver: Pershing DTC # = 0443

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED] Please confirm t/d, s/d and amount so that we can match free delivery.

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED] Please confirm t/d, s/d and amount so that we can match free delivery.

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED] Please confirm t/d, s/d and amount so that we can match free delivery.

- EUR 291,846.67 - EURO cash wire instructions needed. Relevant instructions:

Bank: Citibank, Frankfurt
SWIFT: CITIDFFF
Account name: Pershing LLC
IBAN: [REDACTED]
For Further credit to: Southern Financial, LLC
Account #: [REDACTED]

JPM Southern Trust Co., Inc. ([REDACTED]) - Assets to be transferred to Pershing LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED] Please confirm t/d, s/d and amount so that we can match.

Thank you,