

VI. Appendix: Paperwork Checklist [Vahe/Amanda - with Harry]

- a. ISDA Unilateral
- b. DB Dodd Frank Protocol (STINC and SFLLC)
- c. KCP Non-Advisory Letter (for STINC and SFLLC)
- d. FINRA 2111 Institutional Suitability Certificate (for STINC and SFLLC)
- e. Options (all 6 entities - SFLLC, STINC, Jeepers, Haze, Personal, Foundation)
- f. Structured Solutions (all 6 entities)
- g. FX (all 6 entities)
- h. Pre-Post Market Trading Form (all 6 entities)

(See attached file: Epstein Meeting Agenda 10.22.13 - revised.docx)

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