

- VI. Appendix: Paperwork Checklist
- a. ISDA Unilateral
 - b. DB Dodd Frank Protocol (STINC and SFLLC)
 - c. KCP Non-Advisory Letter (for STINC and SFLLC)
 - d. FINRA 2111 Institutional Suitability Certificate (for STINC and SFLLC)
 - e. Options (all 6 entities - SFLLC, STINC, Jeepers, Haze, Personal, Foundation)
 - f. Structured Solutions (all 6 entities)
 - g. FX (all 6 entities)
 - h. Pre-Post Market Trading Form (all 6 entities)

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