

Subject: ECM carve out to KCP client [C]

Classification: Confidential

Greetings, Caroline, Chip, Haig. Tazia and I discussed this case this morning. The proposal from Tazia is that Mr. Epstein would indicate for certain deals, and that he be allocated shares on these transactions from the "KCP allocation". You can see the history below. As this is the first such case, it is proposed that Mr. Epstein be allocated 50bps of the shares allocated to Wealth Management for the deals in which he participates? Do you agree, and will you kindly respond so that Mike Iaquina and the rest of us are aware of this arrangement?

Please also let us know if you have questions or concerns. Best regards, --Josh

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Tazia Smith

----- Original Message -----

From: Tazia Smith
Sent: 12/13/2013 05:34 PM EST
To: Joshua Shoshan
Subject: Fw: [C]

Classification: Confidential

Hi Josh - Let's circle up on this on Monday and strategize the best solution.

Let me know when works for you...

Thanks!
Tazia

----- Forwarded by Tazia Smith/db/dbcom on 12/13/2013 05:32 PM -----

From: Caroline Kitidis/db/dbcom
To: Tazia Smith/db/dbcom@DBAMERICAS,
Cc: Paul Morris/db/dbcom@DBAMERICAS
Date: 12/13/2013 11:43 AM
Subject: Re: [C]
