

Classification: Confidential

Per Janet @ JPM. Euroclear = 23859.

----- Forwarded by Vahe Stepanian/db/dbcom on 12/02/2013 03:47 PM -----

From: Vahe Stepanian/db/dbcom
To: Lisa D Hurd/db/dbcom@DBAmericas,
Cc: Amanda Kirby/db/dbcom@DBAMERICAS
Date: 12/02/2013 03:41 PM
Subject: Fw: Epstein - Assets to transfer [C]

Classification: Confidential

Lisa - do you need anything else to process?

Thanks,
Vahe

----- Forwarded by Vahe Stepanian/db/dbcom on 12/02/2013 03:41 PM -----

From: "Young, Janet E" <[REDACTED]>
To: Vahe Stepanian/db/dbcom@DBAmericas,
Cc: Amanda Kirby/db/dbcom@DBAMERICAS, [REDACTED] Lisa D
Hurd/db/dbcom@DBAmericas,
PB-Service1318 <PB-Service1318@jpmorgan.com>
Date: 12/02/2013 03:40 PM
Subject: RE: Fw: Epstein - Assets to transfer [C]

Vahe,
Current market values are noted next to the security names below.

JPM Southern Financial, LLC Wxxxx0003 - Assets to be transferred to Pershing
LLC A/C # N4G- xxx804 - Southern Financial, LLC

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY
EUROCLEAR
ISIN: XS0473106283 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and
amount so that we can match free delivery.
Units 3,000,000 - Market Value - \$3,220,320.00

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY
EUROCLEAR
ISIN: XS0717735822 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and
amount so that we can match free delivery.
Units - 1,500,000 Market Value - \$1,785,435.00

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and
amount so that we can match free delivery.
Units - 2,500,000 Market Value - \$2,020,000.00

JPM Southern Trust Co., Inc.(Wxxxx5001) - Assets to be transferred to Pershing