

M. Case Comments		
Created By	Date	Comments
Cynthia Rodriguez	7/6/117 2:41 AM	Client was contacted via phone on 6/28/2017 to confirm there are no material changes to his single member LLCs at DB
Kshitij Golani	8/19/117 1:06 AM	This KYC serves as a high risk update for the below listed clients (together "acountholders") – 1. Zorro Management, LLC 2. LSJE, LLC 3. Mort, Inc 4. Jeepers Inc 5. Hyperion Air, LLC 6. Plan D LLC 7. JEJE LLC 8. JEJE Inc Below are the reasons why we are comfortable with approving this KYC: • Information and supporting documents required by our AML Policy were provided and reviewed; and as a result, we have an understanding of the structure of the acountholders, their purpose, the purpose of the accounts and expected transaction activity. • There are a few risk factors associated with the acountholders – - SOW: The UBO (Jeffrey Epstein) is the founder of Southern Trust Company Inc, which manages client's assets. SOW verification was obtained. - Negative Media & PEP: There is some negative media against Mr Epstein and he is also maintains a close relationship with Bill Clinton and Prince Andrew. Please see risk calculator for more information. Appropriate approval was obtained. • Our review did not identify any red flags and thus there was no need to escalate this case further. • We performed due diligence searches on all parties and did not find the names searched to be on any sanctions lists

ONSHORE APPROVALS			
Client Facing Professional (CFP):	stewart oldfield	(Signature) stewart oldfield	6/29/2017
Office Director/Business Head:	Andrew F Gallivan	(Signature) Andrew F Gallivan	7/5/2017
Regional Office Director:		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:	Kshitij Golani	(Signature) Kshitij Golani	8/18/2017
	Yoonsun Chung	Yoonsun Chung	8/18/2017

LATAM/INTERNATIONAL APPROVALS			
Client Facing Professional (CFP):		(Signature)	
Account Manager:		(Signature)	
Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH):		(Signature)	
AML Business Risk:		(Signature)	