

# Entity Self-Certification Form

## Appendix 1: Controlling Persons

Appendix 1 must be completed for each Controlling Person of the entity, but only if the entity has a tax residence outside the United States. Please refer to the attached Guidance to the Entity Self-Certification Form which provides assistance for you to determine the Controlling Persons and how to complete this Appendix.

Please provide details of each Controlling Person below. Please continue on a separate sheet if necessary (and attach to this form).

	First Name <b>Rich</b>	Last Name <b>Kahn</b>
	Date of Birth [REDACTED]	Controlling Person Type/Role <b>President</b>
	Country/Countries of Tax Residence <b>US</b>	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Yes (complete Appendix 2) <input type="checkbox"/> No <input checked="" type="checkbox"/>
	First Name <b>Jeffrey</b>	Last Name <b>Epstein</b>
	Date of Birth [REDACTED]	Controlling Person Type/Role <b>Director</b>
	Country/Countries of Tax Residence <b>US Virgin Islands</b>	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Yes (complete Appendix 2) <input type="checkbox"/> No <input checked="" type="checkbox"/>
	First Name <b>Erika</b>	Last Name <b>Kellerhals</b>
	Date of Birth [REDACTED]	Controlling Person Type/Role <b>Secretary, Director</b>
	Country/Countries of Tax Residence <b>US Virgin Islands</b>	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Yes (complete Appendix 2) <input type="checkbox"/> No <input checked="" type="checkbox"/>
	First Name <b>Darren</b>	Last Name <b>Indyke</b>
	Date of Birth [REDACTED]	Controlling Person Type/Role <b>Treasurer, Director</b>
	Country/Countries of Tax Residence <b>US</b>	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Yes (complete Appendix 2) <input type="checkbox"/> No <input checked="" type="checkbox"/>
	First Name	Last Name
	Date of Birth	Controlling Person Type/Role
	Country/Countries of Tax Residence	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner?	Yes (complete Appendix 2) <input type="checkbox"/> No <input type="checkbox"/>

Each Controlling Person who is also a non-US Beneficiary/non-US Beneficial Owner must complete and sign Appendix 2: Beneficiary/Beneficial Owner Self-Certification Form. A Controlling Person who is a Beneficial Owner with a tax residence solely in the United States is not required to complete and sign Appendix 2.