

KYC Case # : **01790739**

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details			
Relationship Name:	SOUTHERN FINANCIAL RELATIONSHIP.00000483290	Booking Center:	New York
Relationship Manager:	[REDACTED]		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship <input checked="" type="checkbox"/> Existing PWM Relationship		
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: *** This KYC is a periodic review for high risk remediation*** Original KYC1346733		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral	<input type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input type="checkbox"/> Other Source (CIB, etc.) Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to [REDACTED] on 3/7/17 to have a new acct opened under Zorro Management LLC and add two new signors to this new acct only.		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	Gratitude America, Ltd-[REDACTED]	2/18/2015
2	<input checked="" type="checkbox"/>	Neptune, LLC - Deposit-[REDACTED]	10/18/2013
3	<input checked="" type="checkbox"/>	NES, LLC - Deposit-[REDACTED]	11/8/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	[REDACTED]		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED]