

Begin forwarded message:

From: Nathan Head <[REDACTED]>
Subject: USA Patriot Act Customer Identification Program Notification
Date: June 11, 2018 at 1:35:47 PM EDT
To: 'Richard Kahn' <[REDACTED]>
Cc: Joshua Chin-Sang <[REDACTED]>

<image001.png>

Dear Client – Southern Financial, LLC

Subject: USA PATRIOT Act Section 326 Customer Identification Program & Regulation GG

<image002.png>

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

USA PATRIOT Act Section 326 Customer Identification Program

To help the government fight the funding of terrorism and money laundering activities, pursuant to Federal regulations that became effective on October 1, 2003, Section 326 of the USA PATRIOT Act requires all financial institutions to obtain, verify, and record information that identifies each person or legal entity that opens an account.

What this means for you: When you open an account, we will ask for your name, address, and other information that will allow us to identify you. We may also ask you to provide other identifying documents, such as articles of incorporation, an offering memorandum, or other identifying documents.

In addition, please find attached Deutsche Bank's BCM Program's Client Disclosure letter for your reference.

The Following Applies to Non-US Correspondent Banks Only

Pursuant to U.S. regulations issued under section 311 of the USA PATRIOT Act, see 31 CFR 1010.658, Deutsche Bank is required to notify you that we are prohibited from establishing, maintaining, administering, or managing a correspondent account for, or on behalf of the following which are defined collectively as "311 designated banks":

- Banco Delta Asia or any of its subsidiaries (including, but not limited to, Delta Asia Credit Limited, and Delta Asia Insurance Limited).
- Commercial Bank of Syria or any of its subsidiaries (including Syrian Lebanese Commercial Bank)
- FBME Bank Ltd (f.k.a. Federal Bank of the Middle East Ltd.) or any of its subsidiaries