

Subject: RE: Update on outstanding securities for Southern Trust Co., Inc.
[C]

From: "Young, Janet E" <[REDACTED]>
Date: Thu, 17 Oct 2013 15:32:19 -0400
To: Lisa D Hurd <[REDACTED]>
Cc: Amanda Kirby <[REDACTED]>, Barrett Team <[REDACTED]>

Lisa,

The other note is pending for delivery and settled today.

1. 40432XE56	HSBC BRL STEP UP NOTE 4/21/14	DELD
13105203341450	04/05/2013 0.000000000	
1000000.000	0.00 10/15/2013 0000889 66	RELEASED

Best Regards,

Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID # 832072 - 500 Stanton Christiana Road, Newark DE 19713

T: [REDACTED] | F: [REDACTED] | [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F: [REDACTED]

To ensure a prompt response, please direct your reply to our team:

[REDACTED] T: [REDACTED] | F: [REDACTED]

From: Lisa D Hurd [mailto:]
Sent: Wednesday, October 16, 2013 4:58 PM
To: Young, Janet E
Cc: Amanda Kirby; Barrett Team
Subject: Re: Update on outstanding securities for Southern Trust Co., Inc.
[C]

Classification: Confidential

Hi Janet,

I only see us receiving 1 security today:

1. HSBC BRL STEP UP NOTE 3/27/14 40432XD32 66 1,000,000.000 10/15/13
LNKD TO BRL VS USD 1,000,000.00 882,200.00
85%BARRIER- 5%CPN-28.5% MXRTRN MD

I do not see the other being received, please advise, thank you.

Kind regards,
Lisa Hurd

Lisa D Hurd
ACATS

Deutsche Bank Securities Inc.
Private Wealth Management US Operations
1 South Street, 21202-3298 Baltimore, MD, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such. {Inactive hide details for "Young, Janet E" ---10/16/2013 12:56:08 PM---A/C # [REDACTED] - SOUTHERN TRUST COMPANY, INC} "Young, Janet E" ---10/16/2013 12:56:08 PM---A/C # [REDACTED] - SOUTHERN TRUST COMPANY, INC

From:

"Young, Janet E" <[REDACTED]>

To:

Harry Baller <[REDACTED]>, Amanda Kirby/db/dbcom@DBAMERICAS, Lisa D Hurd/db/dbcom@DBAmericas,

Cc:

PB-Service1318 <[REDACTED]>, Barrett Team <[REDACTED]>

Date:

10/16/2013 12:56 PM

Subject:

Update on outstanding securities for Southern Trust Co., Inc.

A/C # [REDACTED] - SOUTHERN TRUST COMPANY, INC

Harry, Amanda and Lisa,
Update on the following securities has been received.

To be delivered today 10/16/13, to Deutsche Bank

1. HSBC BRL STEP UP NOTE 3/27/14 40432XD32 66 1,000,000.000 10/15/13
LNKD TO BRL VS USD 1,000,000.00 882,200.00
85%BARRIER- 5%CPN-28.5% MXRTRN MD

2. HSBC BRL STEP UP NOTE 4/21/14 40432XE56 66 1,000,000.000 10/15/13
LNKD TO BRL VS USD 1,000,000.00 902,700.00
85%BARRIER- 5%CPN-28.5% MXRTRN MD

Best Regards,
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID #
832072 - 500 Stanton Christiana Road, Newark DE 19713
T: [REDACTED] | F: [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F:
[REDACTED]

To ensure a prompt response, please direct your reply to our team:

[REDACTED] T: [REDACTED] | F: [REDACTED]

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