

Subject: Re: Fw: Southern Financial [I]
From: Amanda Kirby <[REDACTED]>
Date: Mon, 21 Oct 2013 17:22:01 -0400
To: Lisa D Hurd <[REDACTED]>

Classification: For internal use only

OK. I'll call first thing

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Lisa D Hurd/db/dbcom
To: Amanda Kirby/db/[REDACTED],
Date: 10/21/2013 05:18 PM
Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

It looks like the mutual funds are here I will need to have them manually posted tomorrow.
Please call me in AM if possible

Kind regards,
Lisa Hurd

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Lisa D Hurd
ACATS

Deutsche Bank Securities Inc.
Private Wealth Management US Operations
1 South Street, 21202-3298 Baltimore, MD, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Amanda Kirby/db/dbcom
To: Lisa D Hurd/db/[REDACTED]
Date: 10/21/2013 03:04 PM
Subject: Fw: Southern Financial [I]

Classification: For internal use only

Hi Lisa,

Did account# [REDACTED] receive the below 2 positions? I know you reached out to JPM on Friday to let them know the shell account had been set up. Any update?

Best,
Amanda Kirby

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Amanda Kirby
Associate

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----- Forwarded by Amanda Kirby/db/dbcom on 10/21/2013 03:03 PM -----

From: Harry Beller <[REDACTED]>

To: Amanda Kirby/db/dbcom@DBAMERICAS,

Date: 10/21/2013 03:02 PM

Subject: Southern Financial

Amanda

Just following up.

Please confirm whether DB received 78,709 shares of JPM Value Advantage Fund (JVAIX) and 49,505 shares of JPM Latin America (JLTSX) for the account of Southern Financial LLC

thanks

Harry