

Subject: Fw: JE Meeting Agenda 10/22 [I]  
From: Amanda Kirby <[REDACTED]>  
Date: Tue, 22 Oct 2013 08:32:19 -0400  
To: Margaux Mcgrath [REDACTED]

Classification: For internal use only

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

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----- Forwarded by Amanda Kirby/db/dbcom on 10/22/2013 08:32 AM -----

From: Tazia Smith/db/-  
dbcom

To: Caroline Kitidis/db/[REDACTED], Monica Gilkinson/db/-  
[REDACTED]

Cc: Amanda Kirby/db/[REDACTED], Paul Morris/db/-  
[REDACTED], Vahe Stepanian/db/-  
[REDACTED]

Date: 10/21/2013 08:57  
PM

EFTA01350905

Subject: Re: JE Meeting Agenda 10/22  
[I]

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...more client friendly version of the same. Monica, please use this one.

Thank you,  
Tazia

(See attached file: Epstein Meeting Agenda 10.22.13 - revised2.docx)

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Tazia Smith  
Director | Key Client Partners - US

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From: Caroline Kitidis/db/-  
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To: Tazia Smith/db/-  
[REDACTED],

Cc: Amanda Kirby/db/[REDACTED], Paul Morris/db/-  
[REDACTED], Vahe Stepanian/db/[REDACTED]  
Monica  
[REDACTED] Gilkinson/db/-  
[REDACTED]

Date: 10/21/2013 06:00  
PM

Subject: Re: JE Meeting Agenda 10/22  
[I]

Classification: For internal use only

Monica please put together a professional version of this on DB letter head,  
6  
copies, by 10am. Thanks.

Kind regards,

Caroline Kitidis

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Caroline V. Kitidis  
Managing Director | Head of Key Client Partners & Wealth Investment Coverage  
-  
Americas

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From: Tazia Smith/db/-  
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To: Paul Morris, Caroline Kitidis/db/-  
[REDACTED]

Cc: Vahe Stepanian/db/[REDACTED], Amanda Kirby/db/-  
[REDACTED]

Date: 10/21/2013 05:51  
PM

Subject: JE Meeting Agenda 10/22  
[I]

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Paul - Per our discussion, revisions below/attached....

Epstein Meeting 10/22/13

Agenda

I. Entity Transfer and Position Update - [Paul/Tazia]

a. Remaining positions to be transferred

b. Sum total left at JPM

c. Sum total at DB

i. Total Cash (Note money market rates, limitations on withdrawals)

ii. Exposure/Current Position Commentary

d. Investment Objectives Discussion (Return objective, risk appetite, liquidity needs)

i. SFLLC

ii. STINC

iii. Haze

iv. Jeepers

v. Foundation

vi. Personal

II. Key Client Partners - [Caroline]

a. KCP Global Value Proposition

b. KCP Non-Advisory Letter

### III. Coverage – Stylistic Clarifications - [Tazia]

a. Trading Communication and Position Updates

i. Frequency/incremental moves

ii. Preferred medium

iii. When unreachable

b. Position Review - referred report format and frequency

### IV. Investment/Trade Ideas - [Tazia]

a. European Recovery

i. Credit-Linked Note on Eurozone Periphery (Portugal, Spain, Italy & Ireland; 3mL+375bps for 5yrs)

ii. EUR 2y10y Curve Steepener Note (4.75% x n/N paid semi-annually, 1.5-2.5%)

iii. European Equity Basket (compare with current holdings)

b. Municipals

i. Puerto Rico Cofina Bond (+ leverage?)

c. Technology

- i. DB Global Markets Basket: QCOM, AAPL, EMC, APH, GOOG, ACN, CTSH, IBM, WU, BRCM, INTC, VNTV, WNS, FCS, ONNN

V. Other Investment Opportunities – [Tazia]

- a. RREEF (RDIF and Retrofit Partners)
- b. Japanese Real Estate – Mezzanine
- c. CLOs

VI. Appendix: Paperwork Checklist [Vahe/Amanda - with Harry]

- a. ISDA Unilateral
- b. DB Dodd Frank Protocol (STINC and SFLLC)
- c. KCP Non-Advisory Letter (for STINC and SFLLC)
- d. FINRA 2111 Institutional Suitability Certificate (for STINC and SFLLC)
- e. Options (all 6 entities - SFLLC, STINC, Jeepers, Haze, Personal, Foundation)
- f. Structured Solutions (all 6 entities)
- g. FX (all 6 entities)
- h. Pre-Post Market Trading Form (all 6 entities)

[attachment "Epstein Meeting Agenda 10.22.13 - revised.docx" deleted by  
Caroline Kitidis/db/dbcom]

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