

Subject: Re: Fw: Southern Financial [I]
From: Lisa D Hurd <[REDACTED]>
Date: Tue, 22 Oct 2013 10:17:56 -0400
To: Amanda Kirby <[REDACTED]>

Classification: For internal use only

looks like 833K and 950K +/-

Kind regards,
Lisa Hurd

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Lisa D Hurd
ACATS

Deutsche Bank Securities Inc.
Private Wealth Management US Operations
1 South Street, 21202-3298 Baltimore, MD, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Amanda Kirby/db/dbcom

To: Lisa D Hurd/db/[REDACTED]

Date: 10/22/2013 10:01 AM

Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

Woohoo!! Yes! After those two hit we will be done!! Do you know the value of those two that are coming in today? We're meeting with the client at 11 and would love to give him an update

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Lisa D Hurd/db/dbcom
To: Amanda Kirby/db/[REDACTED],
Date: 10/22/2013 09:48 AM
Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

Yeah!!!! The shares have been received and will be posted to the account sometime today.

I also see we received the regular MM fund yesterday as a wire.

Once like the 2 mutual funds are posted, It looks like we are finished with all of these now, do you agree?

Southern Fin
Southern Tr
Jeepers
and
Haze Tr

Kind regards,
Lisa Hurd
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Lisa D Hurd
ACATS
Deutsche Bank Securities Inc.
Private Wealth Management US Operations
1 South Street, 21202-3298 Baltimore, MD, USA

Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]
(Embedded image moved to file: pic01804.gif)

From: Amanda Kirby/db/dbcom
To: Lisa D Hurd/db/[REDACTED],
Date: 10/22/2013 08:18 AM
Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

Hi Lisa,

Just tried you. Give me a ring when you're available

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Lisa D Hurd/db/dbcom
To: Amanda Kirby/db/[REDACTED],

Date: 10/21/2013 05:18 PM

Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

It looks like the mutual funds are here I will need to have them manually posted tomorrow.

Please call me in AM if possible

Kind regards,
Lisa Hurd

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Lisa D Hurd
ACATS

Deutsche Bank Securities Inc.
Private Wealth Management US Operations
1 South Street, 21202-3298 Baltimore, MD, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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From: Amanda Kirby/db/dbcom

To: Lisa D Hurd/db/[REDACTED]

Date: 10/21/2013 03:04 PM

Subject: Fw: Southern Financial [I]

Classification: For internal use only

Hi Lisa,

Did account# [REDACTED] receive the below 2 positions? I know you reached out to JPM on Friday to let them know the shell account had been set up. Any update?

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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----- Forwarded by Amanda Kirby/db/dbcom on 10/21/2013 03:03 PM -----

From: Harry Beller <[REDACTED]>
To: Amanda Kirby/db/dbcom@DBAMERICAS,
Date: 10/21/2013 03:02 PM
Subject: Southern Financial

Amanda

Just following up.

Please confirm whether DB received 78,709 shares of JPM Value Advantage Fund (JVAIX) and 49,505 shares of JPM Latin America (JLTSX) for the account of Southern Financial LLC

thanks

Harry