

Subject: Re: Fw: Southern Financial [I]  
From: Amanda Kirby <[REDACTED]>  
Date: Tue, 22 Oct 2013 10:35:52 -0400  
To: Lisa D Hurd <[REDACTED]>

Classification: For internal use only

great! thank you!

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas  
345 Park Avenue, 27th Fl  
New York, NY, USA, 10154-0004  
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From: Lisa D Hurd/db/dbcom  
To: Amanda Kirby/db/dbcom@DBAMERICAS,  
Date: 10/22/2013 10:18 AM  
Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

looks like 833K and 950K +/-

Kind regards,  
Lisa Hurd

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Lisa D Hurd  
ACATS

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Private Wealth Management US Operations  
1 South Street, 21202-3298 Baltimore, MD, USA  
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From: Amanda Kirby/db/dbcom  
To: Lisa D Hurd/db/dbcom@DBAmericas,  
Date: 10/22/2013 10:01 AM  
Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

Woohoo!! Yes! After those two hit we will be done!! Do you know the value of those two that are coming in today? We're meeting with the client at 11 and would love to give him an update

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

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From: Lisa D Hurd/db/dbcom  
To: Amanda Kirby/db/dbcom@DBAMERICAS,  
Date: 10/22/2013 09:48 AM  
Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

Yeah!!!! The shares have been received and will be posted to the account sometime today.

I also see we received the regular MM fund yesterday as a wire.

Once like the 2 mutual funds are posted, It looks like we are finished with all of these now, do you agree?

Southern Fin  
Southern Tr  
Jeepers  
and  
Haze Tr

Kind regards,  
Lisa Hurd  
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Lisa D Hurd  
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(Embedded image moved to file: pic30421.gif)

From: Amanda Kirby/db/dbcom  
To: Lisa D Hurd/db/dbcom@DBAmericas,

Date: 10/22/2013 08:18 AM

Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

Hi Lisa,

Just tried you. Give me a ring when you're available

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

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From: Lisa D Hurd/db/dbcom

To: Amanda Kirby/db/dbcom@DBAMERICAS,

Date: 10/21/2013 05:18 PM

Subject: Re: Fw: Southern Financial [I]

Classification: For internal use only

It looks like the mutual funds are here I will need to have them manually posted tomorrow.  
Please call me in AM if possible

Kind regards,  
Lisa Hurd

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Lisa D Hurd  
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From: Amanda Kirby/db/dbcom  
To: Lisa D Hurd/db/dbcom@DBAmericas,  
Date: 10/21/2013 03:04 PM  
Subject: Fw: Southern Financial [I]

Classification: For internal use only

Hi Lisa,

Did account# [REDACTED] receive the below 2 positions? I know you reached out to JPM on Friday to let them know the shell account had been set up. Any update?

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

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----- Forwarded by Amanda Kirby/db/dbcom on 10/21/2013 03:03 PM -----

From: Harry Beller <[REDACTED]>  
To: Amanda Kirby/db/dbcom@DBAMERICAS,  
Date: 10/21/2013 03:02 PM  
Subject: Southern Financial

Amanda

Just following up.

Please confirm whether DB received 78,709 shares of JPM Value Advantage Fund (JVAIX) and 49,505 shares of JPM Latin America (JLTSX) for the account of Southern Financial LLC

thanks

Harry