

Subject: Re: Fw: Epstein - Assets to transfer [C]

From: Vahe Stepanian <[REDACTED]>

Date: Mon, 02 Dec 2013 10:22:42 -0500

To: [REDACTED]

Cc: Amanda Kirby <[REDACTED]> ,

Lisa D Hurd <[REDACTED]> ,

[REDACTED]
[REDACTED]

Classification: Confidential

Janet,

Hope you had a great weekend. Thank you in advance for your help with the below. Please see my notes below in red.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing LLC A/C # [REDACTED] - Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN / 17% CAP

Cusip: 40432XFP1 - tx via DTC - Okay to free deliver:

Pershing

DTC # = 0443

- 1,000,000 JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0% CPN / 25% CAP

Cusip: 48126NVE4 - tx via DTC - Okay to free deliver: Pershing DTC # = 0443

- 2,000,000 SG MARKET PLUS WTI CRUDE 08/05/14 LNKD TO CL1 78.75% BARRIER- 10%CPN ,UNCAPPED

Cusip: 78423EHS6 - tx via DTC- Okay to free deliver: Pershing DTC # = 0443

BY

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD

EUROCLEAR

ISIN: XS0473106283 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

BY

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD

EUROCLEAR

ISIN: XS0717735822 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d,

s/d and amount so that we can match free delivery.

- EUR 291,846.67 – EURO cash wire instructions needed.

Relevant
instructions:

Bank: Citibank, Frankfurt
SWIFT: CITIDEFF
Account name: Pershing LLC
IBAN: [REDACTED]
For Further credit to: Southern Financial, LLC
Account #: [REDACTED]

JPM Southern Trust Co., Inc. [REDACTED] – Assets to be transferred to
Pershing
LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY
EUROCLEAR
ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d,
s/d and amount so that we can match.

Thank you,
Vahe

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Vahe Stepanian
Associate | Wealth Investment Coverage

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Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
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----- Forwarded by Amanda Kirby/db/dbcom on 11/27/2013 02:47 PM -----

From: "Young, Janet E"
<[REDACTED]>

To: Amanda Kirby/db/dbcom@DBAMERICAS, Lisa D Hurd/db/-dbcom@DBAmericas,

Cc: PB-Service1318 <[REDACTED]>, Harry Baller <[REDACTED]>

Date: 11/27/2013 02:40 PM

Subject: Epstein - Assets to transfer

Amanda and Lisa,
Below is a summary of assets that we are in the process of transferring to Pershing LLC. They should be transferred in the next several business days. Please let me know what information you need to accept in the EUROCLEAR global securities. We are also going to need EURO wire instructions so that the currency can be transferred too.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing LLC A/C # [REDACTED] - Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN / 17% CAP
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Cusip: 78423EHS6 - tx via DTC
- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD

BY

EUROCLEAR

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- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD

BY

EUROCLEAR

ISIN: XS0717735822

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EUROCLEAR

ISIN XS0460546525

- EUR 291,846.67 – EURO cash wire instructions needed

JPM Southern Trust Co., Inc. [REDACTED] – Assets to be transferred to
Pershing

LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY

EUROCLEAR

ISIN XS0460546525

Thank You!
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID # [REDACTED]
- 500 Stanton Christiana Road, Newark DE 19713

T: [REDACTED] | F: [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F:
[REDACTED]

To ensure a prompt response, please direct your reply to our team:

T: [REDACTED] | F: [REDACTED]

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