

Subject: Fw: Epstein - Assets to transfer [C]
From: Vahe Stepanian <[REDACTED]>
Date: Mon, 02 Dec 2013 15:41:44 -0500
To: Lisa D Hurd <lisa.d.hurd@db.com>
Cc: Amanda Kirby <[REDACTED]>

Classification: Confidential

Lisa - do you need anything else to process?

Thanks,
Vahe

----- Forwarded by Vahe Stepanian/db/dbcom on 12/02/2013 03:41 PM -----

From: "Young, Janet E"
<janet.e.young@jpmorgan.com>

To: Vahe Stepanian/db/-
dbcom@DBAmericas,

Cc: Amanda Kirby/db/dbcom@DBAMERICAS, "[REDACTED]"
<[REDACTED]>, Lisa D Hurd/db/dbcom@DBAmericas,
PB-Service1318 <PB-
Service1318@jpmorgan.com>

Date: 12/02/2013 03:40
PM

Subject: RE: Fw: Epstein - Assets to transfer
[C]

Vahe,

Current market values are noted next to the security names below.

JPM Southern Financial, LLC Wxxxx0003 - Assets to be transferred to Pershing LLC A/C # N4G- xxx804 – Southern Financial, LLC

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY EUROCLEAR

ISIN: XS0473106283 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

Units 3,000,000 - Market Value - \$3,220,320.00

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY EUROCLEAR

ISIN: XS0717735822 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

Units – 1,500,000 Market Value - \$1,785,435.00

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

Units – 2,500,000 Market Value - \$2,020,000.00

JPM Southern Trust Co., Inc.(Wxxxx5001) – Assets to be transferred to Pershing

LLC A/C # N4G-xxx812

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match.

Units – 2,000,000 Market Value - \$1,616,000.00

Best Regards,
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID # 832072
- 500 Stanton Christiana Road, Newark DE 19713
T: 800-634-1318 | F: 888-731-6607 | janet.e.young@jpmorgan.com

Alternate contacts: Gina Magliocco and Jason Grosse | T: 800-634-1318 | F: 888-731-6607

From: Vahe Stepanian [mailto:]

Sent: Monday, December 02, 2013 3:22 PM
To: Young, Janet E
Cc: Amanda Kirby; [REDACTED]; Lisa D Hurd; PB-Service1318
Subject: RE: Fw: Epstein - Assets to transfer [C]

Classification: Confidential

Great, thanks Janet. My back office tells me that we also need values for the Euroclear positions. Can you please clarify?

Thank you,
Vahe

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Vahe Stepanian
Associate | Wealth Investment Coverage

Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154-0004
Tel. +1 (212) 454-1539
Fax +1 (646) 257-3131
Mobile +1 (347) 854-4676
Email [REDACTED]

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Fro "Young, Janet E"
<janet.e.young@jpmorgan.com>

m:

To: Vahe Stepanian/db/-
dbcom@DBAmericas,

Cc: Amanda Kirby/db/dbcom@DBAMERICAS, Lisa D Hurd/db/-
dbcom@DBAmericas,
PB-Service1318 <PB-Service1318@jpmorgan.com>, "[REDACTED]"

<

[REDACTED]

Dat 12/02/2013 03:15
PM

e:

Sub RE: Fw: Epstein - Assets to transfer
[C]

jec

t:

Vahe,
We are setting up the global deliveries for each account as TD 12/02/13 and
SD
12/03/13.

Best Regards,
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID # 832072
- 500 Stanton Christiana Road, Newark DE 19713
T: 800-634-1318 | F: 888-731-6607 | janet.e.young@jpmorgan.com

Alternate contacts: Gina Magliocco and Jason Grosse | T: 800-634-1318 | F:
888-731-6607

To ensure a prompt response, please direct your reply to our team:
pb-service1318@jpmorgan.com T: 302-634-3954 | F: 302-634-5050

From: Vahe Stepanian [mailto:[REDACTED]]
Sent: Monday, December 02, 2013 10:23 AM
To: Young, Janet E
Cc: Amanda Kirby; Lisa D Hurd; PB-Service1318; [REDACTED]
Subject: Re: Fw: Epstein - Assets to transfer [C]

EFTA01351005

Classification: Confidential

Janet,

Hope you had a great weekend. Thank you in advance for your help with the below. Please see my notes below in red.

JPM Southern Financial, LLC Wxxxx0003 - Assets to be transferred to Pershing LLC A/C # N4G- xxx804 – Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN / 17% CAP

Cusip: 40432XFP1 – tx via DTC - Okay to free deliver: Pershing DTC # = 0443

- 1,000,000 JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0% CPN / 25% CAP

Cusip: 48126NVE4 – tx via DTC - Okay to free deliver: Pershing DTC # = 0443

- 2,000,000 SG MARKET PLUS WTI CRUDE 08/05/14 LNKD TO CL1 78.75% BARRIER- 10%CPN ,UNCAPPED

Cusip: 78423EHS6 – tx via DTC- Okay to free deliver: Pershing DTC # = 0443

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY EUROCLEAR

ISIN: XS0473106283 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY EUROCLEAR

ISIN: XS0717735822 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and amount so that we can match free delivery.

- EUR 291,846.67 – EURO cash wire instructions needed. Relevant instructions:

Bank: Citibank, Frankfurt

SWIFT: CITIDEFF

Account name: Pershing LLC

IBAN: DE24502109000119769019

For Further credit to: Southern Financial, LLC

Account #: N4G- xxx804

EFTA01351006

JPM Southern Trust Co., Inc.(Wxxxx5001) – Assets to be transferred to
Pershing
LLC A/C # N4G-xxx812
- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY
EUROCLEAR

ISIN XS0460546525 - Pershing Euroclear # = 92146. Please confirm t/d, s/d and
amount so that we can match.

Thank you,
Vahe

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Vahe Stepanian
Associate | Wealth Investment Coverage

Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154-0004
Tel. +1 (212) 454-1539
Fax +1 (646) 257-3131
Mobile +1 (347) 854-4676
Email [REDACTED]

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----- Forwarded by Amanda Kirby/db/dbcom on 11/27/2013 02:47 PM -----

From: "Young, Janet E"
<janet.e.young@jpmorgan.com>

To: Amanda Kirby/db/dbcom@DBAMERICAS, Lisa D Hurd/db/-
dbcom@DBAmericas,

Cc: PB-Service1318 <PB-Service1318@jpmorgan.com>, Harry Baller
<

[REDACTED] >

Date: 11/27/2013 02:40
PM

Subjec Epstein - Assets to
transfer

t:

Amanda and Lisa,
Below is a summary of assets that we are in the process of transferring to
Pershing LLC. They should be transferred in the next several business days.
Please let me know what information you need to accept in the EUROCLEAR
global
securities. We are also going to need EURO wire instructions so that the
currency can be transferred too.

JPM Southern Financial, LLC Wxxxx0003 - Assets to be transferred to Pershing
LLC A/C # N4G- xxx804 – Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN /
17% CAP

Cusip: 40432XFP1 – tx via DTC

- 1,000,000 JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0%
CPN / 25% CAP

Cusip: 48126NVE4 – tx via DTC

- 2,000,000 SG MARKET PLUS WTI CRUDE 08/05/14 LNKD TO CL1 78.75%
BARRIER- 10%CPN ,UNCAPPED

Cusip: 78423EHS6 – tx via DTC

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY
EUROCLEAR

ISIN: XS0473106283

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY
EUROCLEAR

ISIN: XS0717735822

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY
EUROCLEAR

ISIN XS0460546525

- EUR 291,846.67 – EURO cash wire instructions needed

JPM Southern Trust Co., Inc.(Wxxxx5001) – Assets to be transferred to
Pershing

LLC A/C # N4G-xxx812

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY
EUROCLEAR

ISIN XS0460546525

Thank You!
Janet Young

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To ensure a prompt response, please direct your reply to our team:
pb-servicel318@jpmorgan.com T: 302-634-3954 | F: 302-634-5050

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