

Subject: RE: Fw: Epstein - Assets to transfer [C]
From: "Young, Janet E" <[REDACTED]>
Date: Tue, 03 Dec 2013 11:31:15 -0500
To: Lisa D Hurd <[REDACTED]>
Cc: Amanda Kirby <[REDACTED]>,
PB-Service1318 <[REDACTED]>,
Vahe Stepanian <[REDACTED]>

Lisa,

Thank you!

Best Regards,

Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID #
[REDACTED] - 500 Stanton Christiana Road, Newark DE 19713

T: [REDACTED] | F: [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F:
[REDACTED]

From: Lisa D Hurd [mailto:[REDACTED]]
Sent: Tuesday, December 03, 2013 10:57 AM
To: Young, Janet E
Cc: Amanda Kirby; PB-Service1318; Vahe Stepanian
Subject: RE: Fw: Epstein - Assets to transfer [C]

Classification: Confidential

Hi Janet,

Pershing should be out in the market shortly for this transfer.

Thank you, if you have any questions, please contact me.

Kind regards,
Lisa Hurd

Lisa D Hurd
ACATS
Deutsche Bank Securities Inc.
Private Wealth Management US Operations
1 South Street, 21202-3298 Baltimore, MD, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

{Inactive hide details for "Young, Janet E" ---12/03/2013 09:37:00 AM---HI
Vahe, Our Global Settlements has stated that the belo}"Young, Janet E"
---12/03/2013 09:37:00 AM---HI Vahe, Our Global Settlements has stated that
the below free delivery is failing due to no counterparty instructions. I
unde

From:

"Young, Janet E" <[REDACTED]>

To:

Vahe Stepanian/db/[REDACTED]

Cc:

Amanda Kirby/db/[REDACTED], "[REDACTED]"
<[REDACTED]>, Lisa D Hurd/db/[REDACTED], PB-Service1318 <[REDACTED]>

Date:

12/03/2013 09:37 AM

Subject:

RE: Fw: Epstein - Assets to transfer [C]

HI Vahe,
Our Global Settlements has stated that the below free delivery is failing due to no counterparty instructions. I understand if you were late setting this up yesterday since we did not finalize all details until late afternoon. Let me know when you are set up to receive the below security and I will advise my back office.

JPM Southern Trust Co., Inc. (██████████) – Assets to be transferred to Pershing LLC A/C # ██████████
- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR ISIN ██████████ - Pershing Euroclear # = ██████████. Please confirm t/d, s/d and amount so that we can match.
Units – 2,000,000 Market Value - \$1,616,000.00

JPM Euroclear # ██████████

Thank You!
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID # ██████████
- 500 Stanton Christiana Road, Newark DE 19713

T: ██████████ | F: ██████████ | ██████████

Alternate contacts: Gina Magliocco and Jason Grosse | T: ██████████ | F: ██████████

To ensure a prompt response, please direct your reply to our team:

██████████ T: ██████████ | F: ██████████

From: Young, Janet E
Sent: Monday, December 02, 2013 3:40 PM
To: Vahe Stepanian
Cc: Amanda Kirby; ██████████; Lisa D Hurd; PB-Service1318
Subject: RE: Fw: Epstein - Assets to transfer [C]

Vahe,

Current market values are noted next to the security names below.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing LLC A/C # [REDACTED] - Southern Financial, LLC

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match free delivery.
Units 3,000,000 - Market Value - \$3,220,320.00

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match free delivery.
Units - 1,500,000 Market Value - \$1,785,435.00

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match free delivery.
Units - 2,500,000 Market Value - \$2,020,000.00

JPM Southern Trust Co., Inc. ([REDACTED]) - Assets to be transferred to
Pershing LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match.
Units - 2,000,000 Market Value - \$1,616,000.00

Best Regards,
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID #
[REDACTED] - 500 Stanton Christiana Road, Newark DE 19713

T: [REDACTED] | F: [REDACTED] | [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F:
[REDACTED]

From: Vahe Stepanian [mailto:[REDACTED]]

Sent: Monday, December 02, 2013 3:22 PM

To: Young, Janet E

Cc: Amanda Kirby; [REDACTED]; Lisa D Hurd; PB-Service1318

Subject: RE: Fw: Epstein - Assets to transfer [C]

Classification: Confidential

Great, thanks Janet. My back office tells me that we also need values for
the Euroclear positions. Can you please clarify?

Thank you,
Vahe

Vahe Stepanian
Associate | Wealth Investment Coverage

Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

From:

"Young, Janet E" <[REDACTED]>

To:

Vahe Stepanian/db/[REDACTED],

Cc:

Amanda Kirby/db/[REDACTED], Lisa D Hurd/db/[REDACTED], PB-
Service1318 <[REDACTED]>, "[REDACTED]"
<[REDACTED]>

Date:

12/02/2013 03:15 PM

Subject:

RE: Fw: Epstein - Assets to transfer [C]

EFTA01351044

Vahe,

We are setting up the global deliveries for each account as TD 12/02/13 and SD 12/03/13.

Best Regards,
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID # [REDACTED]
[REDACTED] - 500 Stanton Christiana Road, Newark DE 19713
T: [REDACTED] | F: [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F: [REDACTED]

To ensure a prompt response, please direct your reply to our team:
[REDACTED] T: [REDACTED] | F: [REDACTED]

From: Vahe Stepanian [mailto:[REDACTED]]
Sent: Monday, December 02, 2013 10:23 AM
To: Young, Janet E
Cc: Amanda Kirby; Lisa D Hurd; PB-Service1318; [REDACTED]
Subject: Re: Fw: Epstein - Assets to transfer [C]

Classification: Confidential

Janet,

Hope you had a great weekend. Thank you in advance for your help with the below. Please see my notes below in red.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing LLC A/C # [REDACTED] - Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN / 17% CAP
Cusip: [REDACTED] - tx via DTC - Okay to free deliver: Pershing DTC # = [REDACTED]

- 1,000,000 JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0% CPN / 25% CAP
Cusip: [REDACTED] - tx via DTC - Okay to free deliver: Pershing DTC # = [REDACTED]

- 2,000,000 SG MARKET PLUS WTI CRUDE 08/05/14 LNKD TO CL1 78.75% BARRIER- 10%CPN ,UNCAPPED
Cusip: [REDACTED] - tx via DTC- Okay to free deliver: Pershing DTC # = [REDACTED]

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d

and amount so that we can match free delivery.

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY EUROCLEAR
ISIN: [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match free delivery.

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match free delivery.

- EUR 291,846.67 – EURO cash wire instructions needed. Relevant instructions:

Bank: Citibank, Frankfurt

SWIFT: [REDACTED]

Account name: Pershing LLC

IBAN: [REDACTED]

For Further credit to: Southern Financial, LLC

Account #: [REDACTED]

JPM Southern Trust Co., Inc. ([REDACTED]) – Assets to be transferred to
Pershing LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR
ISIN [REDACTED] - Pershing Euroclear # = [REDACTED]. Please confirm t/d, s/d
and amount so that we can match.

Thank you,
Vahe

Vahe Stepanian
Associate | Wealth Investment Coverage

Deutsche Bank Securities Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154-0004
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

----- Forwarded by Amanda Kirby/db/dbcom on 11/27/2013 02:47 PM -----

From:

"Young, Janet E" <[REDACTED]>

To:

Amanda Kirby/db/[REDACTED], Lisa D Hurd/db/[REDACTED],

Cc:

PB-Service1318 <[REDACTED]>, Harry Baller
<[REDACTED]>

Date:

11/27/2013 02:40 PM

Subject:

Epstein - Assets to transfer

Amanda and Lisa,

Below is a summary of assets that we are in the process of transferring to Pershing LLC. They should be transferred in the next several business days. Please let me know what information you need to accept in the EUROCLEAR global securities. We are also going to need EURO wire instructions so that the currency can be transferred too.

JPM Southern Financial, LLC [REDACTED] - Assets to be transferred to Pershing LLC A/C # [REDACTED] - Southern Financial, LLC

- 2,000,000 HSBC Cont Buffer SX5E 08/29/14 80% EKO BARRIER- 4% CPN / 17% CAP
Cusip: [REDACTED] - tx via DTC

- 1,000,000 JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0% CPN / 25% CAP

Cusip: [REDACTED] - tx via DTC

- 2,000,000 SG MARKET PLUS WTI CRUDE 08/05/14 LNKD TO CL1 78.75% BARRIER-10%CPN ,UNCAPPED

Cusip: [REDACTED] - tx via DTC

- 3,000,000 LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 HELD BY EUROCLEAR

ISIN: [REDACTED]

- LLOYDS BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011 HELD BY EUROCLEAR

ISIN: [REDACTED]

- 2,500,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN [REDACTED]

- EUR 291,846.67 - EURO cash wire instructions needed

JPM Southern Trust Co., Inc. ([REDACTED]) - Assets to be transferred to Pershing LLC A/C # [REDACTED]

- 2,000,000 PETROLEOS DE VENEZUELA S 5% OCT 28 2015 HELD BY EUROCLEAR

ISIN [REDACTED]

Thank You!
Janet Young

Janet E. Young | Vice President | Private Bank | J.P. Morgan | NMLS ID #

[REDACTED] - 500 Stanton Christiana Road, Newark DE 19713

T: [REDACTED] | F: [REDACTED] | [REDACTED]

Alternate contacts: Gina Magliocco and Jason Grosse | T: [REDACTED] | F: [REDACTED]

To ensure a prompt response, please direct your reply to our team:

[REDACTED] T: [REDACTED] | F: [REDACTED]

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