
From: Amanda Kirby [REDACTED]
Sent: 10/2/2013 4:27:56 PM
To: PWMUS AMLKYC [REDACTED]
CC: Michael Vila [REDACTED]; Andrea Klingenberg [REDACTED]; Paul Morris
Subject: Fw: RDC ALERT - Neptune, LLC [I]
Attachments: pic20839.gif; pic09303.gif; Neptune, LLC_RDC Alert.pdf

Classification: For internal use only

Hi,

Attached is an RDC Alert associated with Neptune, LLC. This alert pertains to a boat registered in Tanzania with an alias of "Neptune". The Neptune, LLC we are dealing with is an LLC based in St. Thomas, USVI. The RDC Alert is not for our client. Please approve that it be cleared

Best,
Amanda Kirby

(Embedded image moved to file: pic20839.gif)

Amanda Kirby
Associate

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(Embedded image moved to file: pic09303.gif)

----- Forwarded by Amanda Kirby/db/dbcom on 10/02/2013 04:24 PM -----

From: PWM BIS-Research/db/dbcom@DBAPAC
To: [REDACTED]
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 10/02/2013 04:20 PM
Subject: RDC ALERT - Neptune, LLC [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

(See attached file: Neptune, LLC_RDC Alert.pdf)

Thanks,