



Option Agreement and Approval Form

Please Complete All Sections

Account No. [REDACTED] Account Name Jeffrey Epstein

Account Type Cash Account Registration Individual

Managed Account Discretionary Account Other

Name(s) of person(s) other than account owner(s) authorized to place orders for the account:

Jeffrey Epstein, Harry Beller, Jeanne Brennan

Account and Owner Information (Provide requested information for each account owner ("Client"). Attach supplement to this Agreement if necessary.)

Name Jeffrey Epstein

Address Little Saint James, St. Thomas Country Virgin Islands, US

Phone [REDACTED] Number of Dependents _____ Marital Status Single Birthdate [REDACTED]

Employer Southern Financial LLC Business Phone [REDACTED]

Business Address 6100 Red Hook Quarter, B3, St. Thomas, Virgin Islands, USVI 00802

Type of Business Finance Position President Years Held _____

Present Brokerage Accounts with: JPMorgan

Does the individual or entity that controls this account have the power to control any other Option Account(s) with Deutsche Bank Securities Inc.?

Yes No If yes, please provide the account number(s): Will Provide List

Client(s) Financial Information

Approximate Aggregate Annual Income of Account Owner(s) from All Sources	Sources of Income (Approximate)	Approximate Aggregate Net Worth	
<input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input checked="" type="checkbox"/> \$5,000,000 and over	Salary/Bonus <u>50</u> % Interest/Dividends <u>50</u> % Other (specify): _____ % _____ % _____ %	Liquid Net Worth <input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input checked="" type="checkbox"/> \$5,000,000 and over	Approximate Net Worth Excluding Principal Residence <input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input checked="" type="checkbox"/> \$5,000,000 and over

Investment Experience of Account Owner(s)

	Year First Traded	Average # Trades Per Year	Average Size of Trades in \$	Average Size in Shares / Contracts	Types of Previous Option Experience
Options	1988	10	\$1,000,000.00	1,000	<input checked="" type="checkbox"/> Covered Call Writing <input checked="" type="checkbox"/> Purchased Options <input checked="" type="checkbox"/> Option Spreads <input checked="" type="checkbox"/> Uncovered Put Writing <input checked="" type="checkbox"/> Uncovered Call Writing <input type="checkbox"/> None
Stocks	1988	10	\$1,000,000.00	1,000	
Bonds	1988	10	\$1,000,000.00	1,000	
Commodities	1988	10	\$1,000,000.00	1,000	
Listed Stock Index	1988	10	\$1,000,000.00	1,000	
OTC Derivatives	1988	10	\$1,000,000.00	1,000	
Structured Products	1988	10	\$1,000,000.00	1,000	
Other (Specify) _____					

Deutsche Bank Securities Inc., a subsidiary of Deutsche Bank AG, conducts investment banking and securities activities in the United States.



OPT1

09-PWM-0127 OM 14 (05/11)

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