

Deutsche Bank  
Private Wealth Management



## Option Agreement and Approval Form

Please Complete All Sections

Account No. [REDACTED] Account Name Southern Financial, LLC

Account Type Cash Account Registration Entity

Managed Account  Discretionary Account  Other

Name(s) of person(s) other than account owner(s) authorized to place orders for the account:

Jeffrey Epstein, Jeanne Brennan, Harry Belier

Account and Owner Information (Provide requested information for each account owner ("Client"). Attach supplement to this Agreement if necessary.)

Name Southern Financial, LLC

Address 6100 Red Hook Quarter, B3, St. Thomas, 00802 Country Virgin Islands

Phone [REDACTED] Number of Dependents NA Marital Status NA Birthdate [REDACTED]

Employer NA Business Phone NA

Business Address \_\_\_\_\_

Type of Business \_\_\_\_\_ Position \_\_\_\_\_ Years Held \_\_\_\_\_

Present Brokerage Accounts with: JPMorgan

Does the individual or entity that controls this account have the power to control any other Option Account(s) with Deutsche Bank Securities Inc.?

Yes  No If yes, please provide the account number(s): Will Provide List

### Client(s) Financial Information

Approximate Aggregate Annual Income of Account Owner(s) from All Sources	Sources of Income (Approximate)	Approximate Aggregate Net Worth	
<input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input checked="" type="checkbox"/> \$5,000,000 and over	Salary/Bonus <u>50</u> % Interest/Dividends <u>50</u> % Other (specify): _____ % _____ % _____ %	<b>Liquid Net Worth</b> <input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input checked="" type="checkbox"/> \$5,000,000 and over	<b>Approximate Net Worth Excluding Principal Residence</b> <input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input checked="" type="checkbox"/> \$5,000,000 and over

### Investment Experience of Account Owner(s)

	Year First Traded	Average # Trades Per Year	Average Size of Trades in \$	Average Size in Shares / Contracts	Types of Previous Option Experience
Options	2013	10	\$1,000,000.00	1,000	<input checked="" type="checkbox"/> Covered Call Writing <input checked="" type="checkbox"/> Purchased Options <input checked="" type="checkbox"/> Option Spreads <input checked="" type="checkbox"/> Uncovered Put Writing <input checked="" type="checkbox"/> Uncovered Call Writing <input type="checkbox"/> None
Stocks	2013	10	\$1,000,000.00	1,000	
Bonds	2013	10	\$1,000,000.00	1,000	
Commodities	2013	10	\$1,000,000.00	1,000	
Listed Stock Index	2013	10	\$1,000,000.00	1,000	
OTC Derivatives	2013	10	\$1,000,000.00	1,000	
Structured Products	2013	10	\$1,000,000.00	1,000	
Other (Specify) _____					

Deutsche Bank Securities Inc., a subsidiary of Deutsche Bank AG, conducts investment banking and securities activities in the United States.



OPT1

09-PWM-0127 OM 14 (05/11)

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