

This communication is confidential and personal to you, solely for your information and for discussion purposes only, and does not create any legally binding obligation. Reproduction or distribution of any material herein without our written consent is strictly forbidden.

From: Nina Tona

Sent: Monday, June 10, 2019 3:38 PM

To: Jamaal Bushara <[REDACTED]>; Joseph Harleston <[REDACTED]>

Cc: Padam Chainani <[REDACTED]>; Ellenoi Jarvis <[REDACTED]>; Ana Hunter

<[REDACTED]>; Ian Salters <[REDACTED]>; Oliver Esslinger <[REDACTED]>

Subject: Cases for this Week [I]

Classification: **For internal use only**

Hi Joe and Jamaal,

Please see the below list to be worked on for this week. If any of the ones who are pending with business come back, please stop working on the new ones which were added this week.

Pending with COB

Client Name	KYC Type	Status	Action	COB Responsible	Due Date
George Kaiser Family Foundation	Remediation	Pending with AFC (UK and US)	Jamaal reach out to UK AFC Ricky to clarify Nina to follow up with US AFC	Jamaal	06/12/2019
134 West 58th Realty LLC	Remediation	COB	Jamaal Advise what else is required	Jamaal	06/12/2019
Blue Media Investments (NEW)	Remediation	COB	Provide list of requirements	Jamaal	6/14/2019
30 West 72nd Street (NEW)	Remediation	COB	Provided list of pending items	Joe	6/14/2019
MPT FUNDS SPC LTD (1001260949) (NEW)	Remediation	COB	Provide list of requirements	Joe	6/14/2019

Pending with Business

Client Name	KYC Type	Status
Vanguardia Inversiones SA	Remediation	Pending with business to provide pending items
Dakota Pacific Global Macro Fund LLC	Extension	Client to provide clarification to AFC question