Hi Xavi,

These are the three docs I referred to in our conversation at my desk last week. The Collateral Admin group requires a dummy LS2 facility to be set up to enable the WM collateral value to show up in CMV. That group will not set up an LS2 facility for a new client unless they have certain documentation / information (KYC, PCR, RDC, SIC code, NACE code); BRM will provide the SIC and NACE codes. We'll also ask the documentation team (i.e. Ellenoi) to build this into their required doc list so we don't have to ask you moving forward.

Regards,
Keith

From: Paolo De-Leon
Sent: Wednesday, February 21, 2018 10:43 AM [redacted]
To: Xavier Avila [redacted] Davide-A Sferrazza [redacted]
Cc: Keith Buckholz [redacted]
Subject: KCP Collateral Flow

Hello Xavi and Davide,

Can you kindly provide the following documents for the below three KCP clients. These docs are required so that we can on board these clients into our daily derivative report, which will allow collateral values to flow correctly.

Client name	Docs needed
The Lamia Ventures Corp	KYC, PCR, RDC
PW Focus Fund LLC	KYC, PCR, RDC
Southern Financial LLC	KYC, PCR, RDC

Thanks and regards,

Paolo