

Hi Surendra,

Can you please let me know who is asking for this information? We have never provided this information in the past when setting up new DDR accounts. I also don't have access to the requested documents. Thanks.

Regards,  
Keith

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**From:** Surendra Singh

**Sent:** Tuesday, November 14, 2017 11:00 AM

**To:** Keith Buckholz <[REDACTED]>

**Cc:** Srikanta Gouda <[REDACTED]>; Surendra Singh <[REDACTED]>; Nitin-X Singh <[REDACTED]>; Collateral Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO <[REDACTED]>; Surendra Singh <[REDACTED]>; Sendhil-kumar K <[REDACTED]>; FX Middle Office <[REDACTED]>; Debra Jasper <[REDACTED]>; Roxanne Scott <[REDACTED]>; Halina Laczny <[REDACTED]>; FX Middle Office <[REDACTED]>; Hiren Mathur <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>; Enid Ellis <[REDACTED]>

**Subject:** FW: New Derivatives account. [!]

Classification: **For internal use only**

Hello Keith,

Relevant team is asking to provide below information to get this request processed further. Request you to provide the same.

SIC Code

NACE Code

KYC Document

PCR Document

RDC Document

Kind regards,  
Surendra Singh



Surendra Singh

Associate | COO Wealth Management Operations

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