
From: Paolo De-Leon [REDACTED]
Sent: 2/27/2018 9:31:38 AM
To: Keith Buckholz [REDACTED]
Subject: RE: Southern Financial LLC [I]

Yes, sounds good! Davide was pretty efficient with locating and retrieving the necessary docs, so this new process is looking quite promising so far.

From: Keith Buckholz
Sent: Tuesday, February 27, 2018 9:28 AM
To: Paolo De-Leon <[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Classification: **For internal use only**

Perfect, now we can get this name cleared from the daily margin shortfall/call report. Thanks.

Keith

From: Paolo De-Leon
Sent: Tuesday, February 27, 2018 9:27 AM
To: Collateral Admin-NY <[REDACTED]> Abhijit Mukherjee [REDACTED]
Cc: Keith Buckholz [REDACTED] CRM-PWM Derivatives <[REDACTED]>
Subject: Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client [REDACTED] and [REDACTED]

Thanks and regards,

Paolo



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