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**From:** Diana Canter [REDACTED]  
**Sent:** 1/9/2018 4:15:49 PM  
**To:** Keith Buckholz [REDACTED]  
**CC:** Paolo De-Leon [REDACTED]  
**Subject:** RE: New Derivatives account. [I]

Hi Keith – I tried to call you but no voice mail 😊  
Gideon used to work for Teresa Metallo so I have reached out to her  
She is checking on this one  
Just fyi – one of the accounts you listed far below has been closed on Pershing since 4/28/2014

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Kind regards,  
Diana Canter



**Diana Canter**  
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**From:** Keith Buckholz  
**Sent:** Tuesday, January 09, 2018 2:21 PM  
**To:** Diana Canter <[REDACTED]>  
**Cc:** Paolo De-Leon [REDACTED] >  
**Subject:** FW: New Derivatives account. [I]

Classification: **For internal use only**

Hi Diana,

We are looking for some KYC information on a client. Do you know who can provide us with this information? We are being told an LS2 facility cannot be setup without these docs (which we need to set up an OTC collateral account). Apparently, Gideon Pinedo helped with this process in the past. Thanks.

KYC Document  
PCR Document  
RDC Document

Regards,  
Keith