



**Diana Canter**

Director | Supervisory Principals Group-Business Supervisory Office

Deutsche Bank Securities Inc.  
Deutsche Bank Wealth Management  
1 South Street, 21202-3298 Baltimore, MD, USA  
Tel. [REDACTED]  
Fax [REDACTED]  
Email [REDACTED]

---

**From:** Keith Buckholz  
**Sent:** Tuesday, January 09, 2018 2:21 PM  
**To:** Diana Canter <[REDACTED]>  
**Cc:** Paolo De-Leon <[REDACTED]>  
**Subject:** FW: New Derivatives account. [I]

Classification: **For internal use only**

Hi Diana,

We are looking for some KYC information on a client. Do you know who can provide us with this information? We are being told an LS2 facility cannot be setup without these docs (which we need to set up an OTC collateral account). Apparently, Gideon Pinedo helped with this process in the past. Thanks.

KYC Document  
PCR Document  
RDC Document

Regards,  
Keith

---

**From:** Funda Bozkurt  
**Sent:** Tuesday, January 09, 2018 2:16 PM  
**To:** Keith Buckholz <[REDACTED]>  
**Cc:** Paolo De-Leon <[REDACTED]>  
**Subject:** RE: New Derivatives account. [I]

You can ask Zia Memon /Diana Canter. The team reports to him.

---

**Funda Bozkurt**

Deutsche Bank Trust Company Americas.  
WM-Divisional Control Office  
345 Park Avenue, 10154-0004 New York, NY, USA  
Tel. [REDACTED]  
Email [REDACTED]

---

**From:** Keith Buckholz  
**Sent:** Tuesday, January 09, 2018 2:15 PM  
**To:** Funda Bozkurt <[REDACTED]>