

Cc: Paolo De-Leon <[REDACTED]>
Subject: FW: New Derivatives account. [I]
Importance: High

Classification: **For internal use only**

Hi Funda,

Do you know who owns or maintains KYC documentation (for WM accounts)? Thanks.

Regards,
Keith

From: Keith Buckholz
Sent: Friday, December 08, 2017 11:38 AM
To: Srikanta Gouda <[REDACTED]>; Surendra Singh <[REDACTED]>; Collateral Admin-NY <[REDACTED]>
Cc: Nitin-X Singh <[REDACTED]>; Collateral Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO <[REDACTED]>; Sendhil-kumar K <[REDACTED]>; 'FX Middle Office' <[REDACTED]>; Debra Jasper <[REDACTED]>; Roxanne Scott <[REDACTED]>; Halina Laczny <[REDACTED]>; Hiren Mathur <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>; Enid Ellis <[REDACTED]>; [REDACTED]-Assets Newyork <[REDACTED]>
Subject: RE: New Derivatives account. [I]
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Hi Srikanta,

Is there an escalation point for this issue? Going forward BRM will not be providing KYC documentation to have collateral accounts set up. Thanks.

Regards,
Keith

Keith Buckholz, CAIA
Director | Credit Risk Officer

Deutsche Bank Wealth Management
Business aligned Risk Management
345 Park Avenue, MS NYC20-1406
New York, NY 10154
Tel: [REDACTED]
Mobile: [REDACTED]

From: Keith Buckholz
Sent: Monday, November 20, 2017 2:01 PM
To: Srikanta Gouda <[REDACTED]>; Surendra Singh <[REDACTED]>; [REDACTED] Admin-NY <[REDACTED]>
Cc: Nitin-X Singh <[REDACTED]>; [REDACTED] Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO <[REDACTED]>