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**From:** Abhijit Mukherjee [REDACTED]  
**Sent:** 3/1/2018 5:47:39 PM  
**To:** CUSTOMERBUILD BLO [REDACTED]  
**CC:** Keith Buckholz [REDACTED]; CRM-PWM Derivatives [REDACTED]; Paolo De-Leon [REDACTED]; Collateral Admin-NY [REDACTED]; Sendhil-kumar K [REDACTED]  
**Subject:** RE: Southern Financial LLC [I]  
**Attachments:** Southern Financial [REDACTED].PDF; Southern Financial [REDACTED].PDF; Cleared Southern Financial LLC.PDF; RDC Alert\_Southern Financial LLC.PDF; RESEARCH Southern Financial LLC.PDF; Southern Financial KYC.PDF

Classification: **For internal use only**

Hi Team,

Kindly build the below customer. Attached are the KYC docs. Please let me know if you need anything else.

Thanks.

## Southern Financial LLC

Kind regards,  
Abhijit Mukherjee



Abhijit Mukherjee

DBOI Global Services Private Limited  
Global Business Services  
Block 8, Velankani Tech Park, No.:43, Electronic City, Hosur Road, 560100 Bangalore, India  
Voip [REDACTED]  
Mobile + [REDACTED]  
Email [REDACTED]

For Level 1 escalations please contact Mohammed-B Hussain at email id : [REDACTED]

For Level 2 escalations please contact Melvin V at email id : [REDACTED]

*Passion to Perform*

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**From:** Paolo De-Leon  
**Sent:** Tuesday, February 27, 2018 7:57 PM  
**To:** Collateral Admin-NY <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>  
**Cc:** Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>  
**Subject:** Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client: [REDACTED].

Thanks and regards,