

To: CUSTOMERBUILD BLO <[REDACTED]>

Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <crm-pwm.derivatives@db.com>; Paolo De-Leon <[REDACTED]>; Collateral Admin-NY <collateral.admin-ny@db.com>; Sendhil-kumar K <[REDACTED]>

Subject: RE: Southern Financial LLC [I]

Classification: **For internal use only**

Hi Team,

Kindly build the below customer. Attached are the KYC docs. Please let me know if you need anything else.

Thanks.

## Southern Financial LLC

Kind regards,  
Abhijit Mukherjee



Abhijit Mukherjee

DBOI Global Services Private Limited  
Global Business Services  
Block 8, Velankani Tech Park, No.:43, Electronic City, Hosur Road, 560100 Bangalore, India  
Voip [REDACTED]  
Mobile +91 [REDACTED]  
Email [REDACTED]

For Level 1 escalations please contact Mohammed-B Hussain at email id : [REDACTED]

For Level 2 escalations please contact Melvin V at email id : [REDACTED]

*Passion to Perform* |

From: Paolo De-Leon

Sent: Tuesday, February 27, 2018 7:57 PM

To: Collateral Admin-NY <collateral.admin-ny@db.com>; Abhijit Mukherjee <[REDACTED]>

Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <crm-pwm.derivatives@db.com>

Subject: Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client: N4G025403 and N4G026161.

Thanks and regards,

Paolo