
From: Keith Buckholz [REDACTED]
Sent: 2/28/2018 12:14:27 PM
To: Ellenoi Jarvis [REDACTED]
Subject: FW: Southern Financial LLC [I]
Attachments: Southern Financial LLC_N4G025080_SACA.PDF; Southern Financial LLC_N4G025080_BSPA.PDF; Cleared Southern Financial LLC.PDF; RDC Alert_Southern Financial LLC.PDF; RESEARCH Southern Financial LLC.PDF; Southern Financial KYC.PDF

Classification: **For internal use only**

From: Paolo De-Leon
Sent: Tuesday, February 27, 2018 9:27 AM
To: Collateral Admin-NY <collateral.admin-ny@db.com>; Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <crm-pwm.derivatives@db.com>
Subject: Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client: [REDACTED] and [REDACTED].

Thanks and regards,

Paolo



Paolo De Leon
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