

@ Collateral Team,

Please assist Keith on below request.

Kind regards,
Surendra Singh



Surendra Singh
Associate | COO Wealth Management Operations

DBOI Global Services Private Limited
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City - SEZ, 302029 Jaipur, India

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From: Keith Buckholz
Sent: Tuesday, November 14, 2017 3:12 PM
To: Surendra Singh <[REDACTED]>
Cc: Srikanta Gouda <[REDACTED]>; Nitin-X Singh <[REDACTED]>; Collateral Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO <[REDACTED]>; Sendhil-kumar K <[REDACTED]>; FX Middle Office <[REDACTED]>; Debra Jasper <[REDACTED]>; Roxanne Scott <[REDACTED]>; Halina Laczny <[REDACTED]>; FX Middle Office <[REDACTED]>; Hiren Mathur <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>; Enid Ellis <[REDACTED]>; Collateral-Assets Newyork <[REDACTED]>
Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi Surendra,

Can you please let me know who is asking for this information? We have never provided this information in the past when setting up new DDR accounts. I also don't have access to the requested documents. Thanks.

Regards,
Keith

From: Surendra Singh
Sent: Tuesday, November 14, 2017 11:00 AM
To: Keith Buckholz <[REDACTED]>
Cc: Srikanta Gouda <[REDACTED]>; Surendra Singh <[REDACTED]>; Nitin-X Singh <[REDACTED]>; Collateral Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO <[REDACTED]>; Surendra Singh <[REDACTED]>; Sendhil-kumar K <[REDACTED]>; FX Middle Office <[REDACTED]>; Debra Jasper <[REDACTED]>; Roxanne Scott <[REDACTED]>; Halina Laczny <[REDACTED]>; FX Middle Office <[REDACTED]>; Hiren Mathur <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>