
From: Keith Buckholz [REDACTED]
Sent: 1/9/2018 2:15:19 PM
To: Funda Bozkurt [REDACTED]
CC: Paolo De-Leon [REDACTED]
Subject: FW: New Derivatives account. [I]
Importance: High

Classification: **For internal use only**

Hi Funda,

Do you know who owns or maintains KYC documentation (for WM accounts)? Thanks.

Regards,
Keith

From: Keith Buckholz
Sent: Friday, December 08, 2017 11:38 AM
To: Srikanta Gouda <[REDACTED]>; Surendra Singh <[REDACTED]>; Collateral Admin-NY [REDACTED]
Cc: Nitin-X Singh [REDACTED]; Collateral Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO [REDACTED]; Sendhil-kumar K [REDACTED]; 'FX Middle Office' [REDACTED]; Debra Jasper [REDACTED]; Roxanne Scott <[REDACTED]>; Halina Laczny <[REDACTED]>; Hiren Mathur <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>; Enid Ellis [REDACTED]; Collateral-Assets Newyork [REDACTED]
Subject: RE: New Derivatives account. [I]
Importance: High

Classification: **For internal use only**

Hi Srikanta,

Is there an escalation point for this issue? Going forward BRM will not be providing KYC documentation to have collateral accounts set up. Thanks.

Regards,
Keith

Keith Buckholz, CAIA
Director | Credit Risk Officer

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Business aligned Risk Management
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[REDACTED]

From: Keith Buckholz
Sent: Monday, November 20, 2017 2:01 PM