

LS2 team with that information, but CRM is typically involved only with credit risk analysis and margining approval; the information below seems operational and compliance based in nature. I'd like to track down the ultimate owners of the below information so that we can create a process around retrieving this information when setting up new LS2 profiles.

SIC Code

NACE Code

KYC Document

PCR Document

RDC Document

Thanks,

Paolo



Paolo De Leon

Assistant Vice President | Credit Risk Officer
Deutsche Bank Trust Company Americas
Business Risk Management
345 Park Avenue - 14th Floor
New York, NY, USA 10154-0004

From: Sachiva Gupta

Sent: Wednesday, January 17, 2018 11:07 AM

To: Paolo De-Leon <[REDACTED]> Abhijit Mukherjee <[REDACTED]>

Cc: Keith Buckholz <[REDACTED]>

Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi,

Please exclude my name from the mail.

Kind Regards,



Sachiva Gupta

Senior Analyst | Securities Operations - WM US
COO Wealth Management Operations
DBOI Global Services Private Limited
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City - SEZ, 302029 Jaipur, India

Go green. Consider the environment before printing this email.