
From: Davide-A Sferrazza [REDACTED]
Sent: 2/26/2018 5:35:50 PM
To: Paolo De-Leon [REDACTED]
CC: Xavier Avila [REDACTED]; Keith Buckholz [REDACTED]
Subject: RE: KCP Collateral Flow [I] [C]
Attachments: Cleared Southern Financial LLC.PDF; RDC Alert_Southern Financial LLC.PDF; RESEARCH Southern Financial LLC.PDF; Southern Financial KYC.PDF

Classification: **Confidential**

Paolo,

Please see the requested docs attached for Southern Financial LLC.

Will provide for the other two entities when they are done. Is there someone I should send these to directly going forward?

Thanks,
Davide

From: Paolo De-Leon
Sent: Thursday, February 22, 2018 10:02 AM
To: Davide-A Sferrazza <[REDACTED]>; Xavier Avila <[REDACTED]>; Keith Buckholz <[REDACTED]>
Subject: RE: KCP Collateral Flow [I]

GM Davide,

- KYC- "Know Your Client"
- PCR- "Preventative Crime Research"
- RDC- "Regulatory Data Corp"

Teresa Metallo's group was previously in charge of providing those documents, so she may be a good resource to reach out to if you need some pointing in the right direction.

Thanks,

Paolo

From: Davide-A Sferrazza
Sent: Wednesday, February 21, 2018 6:54 PM
To: Xavier Avila <[REDACTED]>; Keith Buckholz <[REDACTED]>
Cc: Paolo De-Leon <[REDACTED]>
Subject: RE: KCP Collateral Flow [I]

Classification: **For internal use only**

Yes, happy to take point here.

Like Xavi mentions, I'm also not sure what PCR and RDC refer to – can you please clarify and I'll provide for the accts below?