

After reviewing with the Offshore Team on the documentation and for accuracy on providing client information, I propose the AML/Onboarding Team provide the documents since they can provide for both Wealth Management and the Brokerage accounts.

Let me know your thoughts

Teresa Metallo
Vice President
WM OPS
Regulatory - Risk & Control
60 Wall Street
New York, NY 10005
Tel # ([REDACTED])

From: Keith Buckholz
Sent: Wednesday, February 07, 2018 10:24 AM
To: Teresa Metallo < [REDACTED] >
Cc: Jason Wang < [REDACTED] >; Paolo De-Leon < [REDACTED] >
Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi Teresa,

I think this is smoothest and least burdensome process on our two groups. We'll basically send an email to your team and collateral admin NY and all you have to do is add the KYC, PCR, and RDC docs.

Regards,
Keith

From: Paolo De-Leon
Sent: Wednesday, February 07, 2018 9:07 AM
To: Teresa Metallo < [REDACTED]@db.com >
Cc: Keith Buckholz < [REDACTED] >; Jason Wang < [REDACTED] >
Subject: RE: New Derivatives account. [I]

Hello Teresa,

Kindly just following up. Can you please confirm if you are in agreement with the below proposal as we have a couple of clients that we are eager to get set up on the DDR.

Thanks,

Paolo

From: Paolo De-Leon
Sent: Tuesday, February 06, 2018 5:22 PM
To: Teresa Metallo < [REDACTED] >