

Cc: Keith Buckholz <[REDACTED]>; Jason Wang <[REDACTED]>
Subject: RE: New Derivatives account. [I]

Hi Teresa,

Fortunate news- we here at risk have identified a process where we can provide the LS2 team the SIC Code and NACE code. However, we ask that your team help out by acting as the point of contact for retrieving the KYC, PCR and RDC documents.

Are you in agreement with this proposal?

Regards,

Paolo

From: Teresa Metallo
Sent: Thursday, January 18, 2018 12:11 PM
To: Paolo De-Leon <[REDACTED]>; Surendra Singh <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>; Debra Jasper <[REDACTED]>; Halina Laczny <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; Srikanta Gouda <[REDACTED]>; [REDACTED]
Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Paolo,

I will set up a call with all to discuss how we can support these requests.

Thanks,

Teresa Metallo
Vice President
WM OPS
Regulatory - Risk & Control
60 Wall Street
New York, NY 10005
[REDACTED]

From: Paolo De-Leon
Sent: Thursday, January 18, 2018 11:07 AM
To: Surendra Singh <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>; Debra Jasper <[REDACTED]>; Halina Laczny <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; Srikanta Gouda <[REDACTED]>; [REDACTED]
<[REDACTED]>; Teresa Metallo <[REDACTED]>
Subject: RE: New Derivatives account. [I]

Adding Teresa to the thread.

Teresa- it seems that Gideon Pinedo who I believe was previously on your direct team used to help out with retrieving the below information. Would you happen to have someone on your team that can help provide Surenda with the