

below information so that he can build the dummy bond in LS2 and so that ultimate we can add collateral into the daily derivative report, which is an important report that risk relies upon.

Debbie and Halina- please let us know who the direct owners of the below information is (note that these are Wealth Management clients).

SIC Code

NACE Code

KYC Document

PCR Document

RDC Document

Thank you,

Paolo



Paolo De Leon

Assistant Vice President | Credit Risk Officer
Deutsche Bank Trust Company Americas
Business Risk Management
345 Park Avenue - 14th Floor
New York, NY, USA 10154-0004

From: Surendra Singh

Sent: Thursday, January 18, 2018 10:56 AM

To: Paolo De-Leon [REDACTED]; Abhijit Mukherjee [REDACTED]; Debra Jasper

[REDACTED]; Halina Laczny [REDACTED]

Cc: Keith Buckholz [REDACTED]; Srikanta Gouda [REDACTED]; [REDACTED]

Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi Paolo,

I am not sure who should be the right contact to provide relevant information. I would assume that Gedeon Pinedo used to provided such information in past however he left the bank.

@ Debbie/Halina,

Would you please assist on below mail if you know the right contact to get in touch with?

Kind regards,
Surendra Singh