

Counterparty Legal Name	Counterparty ID	Counterparty Booking Center	Country Of Domestic	CPD	Counterparty Local ID	Paragon Gid	Client Type	Credit File
Southern Financial LLC	[REDACTED]	AB			[REDACTED]		Other / Unknown	[REDACTED]
Southern Financial LLC	[REDACTED]	US				8032432	Operating Corpa	[REDACTED]

Show 2 of 2 items Page 1 of 1

From: Teresa Metallo
Sent: Thursday, January 11, 2018 10:04 AM
To: Paolo De-Leon [REDACTED]
Cc: Diana Canter [REDACTED]; Keith Buckholz [REDACTED]
Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi Paolo,

Can you provide the client's account number

Teresa Metallo
 Vice President
 WM OPS
 Regulatory - Risk & Control
 60 Wall Street
 New York, NY 10005
 [REDACTED]

From: Paolo De-Leon
Sent: Thursday, January 11, 2018 9:57 AM
To: Teresa Metallo [REDACTED]
Cc: Diana Canter [REDACTED]; Keith Buckholz [REDACTED]
Subject: RE: New Derivatives account. [I]

Hello Teresa,

Can you kindly provide the below documents for Southern Financial LLC?

- KYC Document
- PCR Document
- RDC Document

Thank you,

Paolo



Paolo De Leon