

Kindly just following up. Can you please confirm if you are in agreement with the below proposal as we have a couple of clients that we are eager to get set up on the DDR.

Thanks,

Paolo

From: Paolo De-Leon

Sent: Tuesday, February 06, 2018 5:22 PM

To: Teresa Metallo <[REDACTED]>

Cc: Keith Buckholz <[REDACTED]> Jason Wang <[REDACTED]>

Subject: RE: New Derivatives account. [I]

Hi Teresa,

Fortunate news- we here at risk have identified a process where we can provide the LS2 team the SIC Code and NACE code. However, we ask that your team help out by acting as the point of contact for retrieving the KYC, PCR and RDC documents.

Are you in agreement with this proposal?

Regards,

Paolo

From: Teresa Metallo

Sent: Thursday, January 18, 2018 12:11 PM

To: Paolo De-Leon <[REDACTED]>; Surendra Singh <[REDACTED]>; Abhijit Mukherjee

<[REDACTED]>; Debra Jasper <[REDACTED]>; Halina Laczny <[REDACTED]>

Cc: Keith Buckholz <[REDACTED]>; Srikanta Gouda <[REDACTED]>; [REDACTED]

Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Paolo,

I will set up a call with all to discuss how we can support these requests.

Thanks,

Teresa Metallo
Vice President
WM OPS
Regulatory - Risk & Control
60 Wall Street
New York, NY 10005
[REDACTED]

From: Paolo De-Leon

Sent: Thursday, January 18, 2018 11:07 AM

To: Surendra Singh <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>; Debra Jasper