

WM OPS  
Regulatory - Risk & Control  
60 Wall Street  
New York, NY 10005  
Tel # (██████████)

---

**From:** Paolo De-Leon

**Sent:** Thursday, January 18, 2018 11:07 AM

**To:** Surendra Singh <██████████>; Abhijit Mukherjee <██████████>; Debra Jasper

<██████████>; Halina Laczny <██████████>

**Cc:** Keith Buckholz <██████████>; Srikanta Gouda <██████████>; 'fx-middleoffice@list.db.com'

<fx-middleoffice@list.db.com>; Teresa Metallo <██████████>

**Subject:** RE: New Derivatives account. [I]

Adding Teresa to the thread.

Teresa- it seems that Gideon Pinedo who I believe was previously on your direct team used to help out with retrieving the below information. Would you happen to have someone on your team that can help provide Surenda with the below information so that he can build the dummy bond in LS2 and so that ultimate we can add collateral into the daily derivative report, which is an important report that risk relies upon.

Debbie and Halina- please let us know who the direct owners of the below information is (note that these are Wealth Management clients).

SIC Code

NACE Code

KYC Document

PCR Document

RDC Document

Thank you,

Paolo



**Paolo De Leon**

Assistant Vice President | Credit Risk Officer

Deutsche Bank Trust Company Americas

Business Risk Management

345 Park Avenue - 14th Floor

New York, NY, USA 10154-0004

Tel. ██████████

Email ██████████

---

**From:** Surendra Singh

**Sent:** Thursday, January 18, 2018 10:56 AM

**To:** Paolo De-Leon <██████████>; Abhijit Mukherjee <██████████>; Debra Jasper

<██████████>; Halina Laczny <██████████>

**Cc:** Keith Buckholz <██████████>; Srikanta Gouda <██████████>; 'fx-middleoffice@list.db.com'