
From: Keith Buckholz

Sent: Tuesday, November 14, 2017 3:12 PM

To: Surendra Singh [REDACTED]

Cc: Srikanta Gouda [REDACTED]; Nitin-X Singh [REDACTED] Collateral Admin-NY [REDACTED]; CUSTOMERBUILD BLO [REDACTED]; Sendhil-kumar K [REDACTED]; FX Middle Office [REDACTED]; Debra Jasper [REDACTED] Roxanne Scott [REDACTED]; Halina Laczny [REDACTED] FX Middle Office [REDACTED]; Hiren Mathur [REDACTED] CRM-PWM Derivatives [REDACTED]; Enid Ellis [REDACTED] Collateral-Assets Newyork [REDACTED]

Subject: RE: New Derivatives account. [I]

Classification: **For internal use only**

Hi Surendra,

Can you please let me know who is asking for this information? We have never provided this information in the past when setting up new DDR accounts. I also don't have access to the requested documents. Thanks.

Regards,
Keith

From: Surendra Singh

Sent: Tuesday, November 14, 2017 11:00 AM

To: Keith Buckholz [REDACTED]

Cc: Srikanta Gouda [REDACTED]; Surendra Singh [REDACTED] Nitin-X Singh [REDACTED] Collateral Admin-NY [REDACTED]; CUSTOMERBUILD BLO [REDACTED]; Surendra Singh [REDACTED]; Sendhil-kumar K [REDACTED]; FX Middle Office [REDACTED]; Debra Jasper [REDACTED] Roxanne Scott [REDACTED]; Halina Laczny [REDACTED]; FX Middle Office [REDACTED]; Hiren Mathur [REDACTED] CRM-PWM Derivatives [REDACTED]; Enid Ellis [REDACTED]

Subject: FW: New Derivatives account. [I]

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Hello Keith,

Relevant team is asking to provide below information to get this request processed further. Request you to provide the same.

SIC Code
NACE Code
KYC Document
PCR Document
RDC Document

Kind regards,
Surendra Singh