

[REDACTED]; Ian Salters [REDACTED]; Oliver Esslinger [REDACTED]
Subject: RE: Cases for this Week - 6/21 [I]

Classification: **For internal use only**

Hi Team,

Please see the updated list. Ellenoi added the 4 clients. Kindly review and advise what is required to remediate, please ensure Red Okas is worked on first.

Pending with COB

Client Name	KYC Type	Status	Action	COB Responsible	Due Date
[REDACTED]	Remediation	COB	IT issue	Jamaal	06/19/2019
[REDACTED]	Remediation	COB	IT issue	Joe	6/14/2019
[REDACTED]	Remediation	COB	With G KYC Checker	Jamaal	6/21/2019
[REDACTED]	Remediation (High Priority)	COB	Provide remediation list	Jamaal	6/28/2019
[REDACTED]	Remediation	COB	Provide remediation list	Joe	6/28/2019
[REDACTED]	Remediation	COB	Provide remediation list	Jamaal	6/28/2019
[REDACTED]	Remediation	COB	Provide remediation list	Joe	6/28/2019

Pending with Business

Client Name	KYC Type	Status
[REDACTED]	Extension	Client to provide clarification to AFC question
[REDACTED]	Extension	With business to obtain from client
[REDACTED]	Remediation	Tax issue, MIFID opt-out
[REDACTED]	NCA	With business to obtain from client
[REDACTED]	NCA	With business to obtain from client
[REDACTED]	Refresh	With business to obtain from client
[REDACTED]	Extension	With business to provide required info
[REDACTED]	NCA	Pending with business
[REDACTED]	NCA	Pending with business
[REDACTED]	NCA	With business to obtain from client
[REDACTED]	Remediation	With business to obtain from client. Client on vacation
[REDACTED]	Remediation	With business to provide list of requirements
[REDACTED]	Remediation	Pending with business

Thank you