

				Responsible	
Vanguardia Inversiones SA	Remediation	COB	Need to send to verification	Jamaal	6/19/2019
134 West 58th Realty LLC	Remediation	COB	Jamaal Advise what else is required	Jamaal	06/19/2019
Ultralat Capital Markets	Refresh	COB	Waiting on IBOs to be build. The work on GKYS	Joe	6/21/2019
125 Washington	Remediation	COB	IT issue	Joe	6/14/2019
Colina Insurance	Remediation	COB			
Dobson CC Limited Partnership	Remediation	COB	List of requirements	Jamaal	6/21/2019

Pending with Business

Client Name	KYC Type	Status
Dakota Pacific Global Macro Fund LLC	Extension	Client to provide clarification to AFC question
Staple Street Global	Extension	With business to obtain from client
Daniel and Suzzan Cohen	Remediation	Tax issue, MIFID opt-out
DA Brazil	NCA	With business to obtain from client
DA Venezuela	NCA	With business to obtain from client
Ultralat Capital Markets	Refresh	With business to obtain from client
Anschutz Investment Company	Extension	With business to provide required info
Fox Growth Fund Inc	NCA	Pending with business
Niscaly	NCA	Pending with business
Black Maple Capital Partners	NCA	With business to obtain from client
The Lamia Ventures Corporation	Remediation	With business to obtain from client. Client on vacation
Blue Media Investments	Remediation	With business to provide list of requirements

Thank you

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#Positive Impact

IWP products and services are intended for and available only to sophisticated, experienced investors who qualify as “institutional accounts” under FINRA Rule 4512(c)(3), and are capable